

# Final Exam

- 2:00 - 5:00 pm Fri Apr 16 CSE B
- Closed Book
- Format similar to midterm
- Will cover whole course, with emphasis on material after midterm (binary search trees, sorting, graphs)

# Suggested Study Strategy

- Review and understand the slides.
- Read the textbook, especially where concepts and methods are not yet clear to you.
- Do all of the practice problems I provide (available early next week).
- Do extra practice problems from the textbook.
- Review the midterm and solutions for practice writing this kind of exam.
- Practice writing clear, succinct pseudocode!
- See me or one of the TAs if there is anything that is still not clear.

# Assistance

- Regular office hours will not be held
- You may see either me or one of the TAs by appointment
- Please note that I will be away at a conference Apr 15-16 (until the exam)

# End of Term Review

# Summary of Topics

1. Binary Search Trees
2. Sorting
3. Graphs

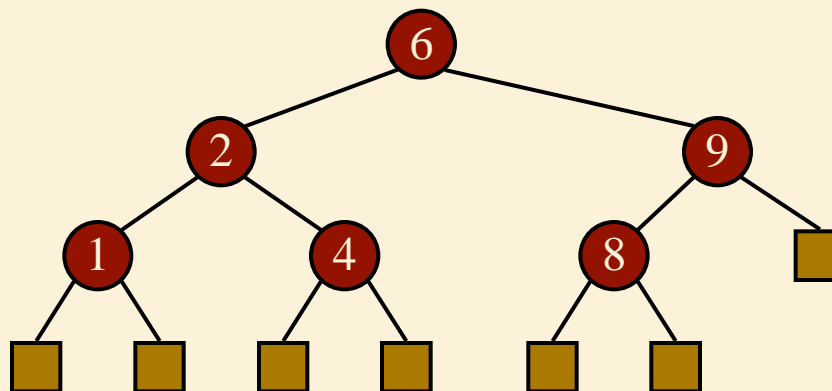
# Topic 1. Binary Search Trees

# Binary Search Trees

- Insertion
- Deletion
- AVL Trees
- Splay Trees

# Binary Search Trees

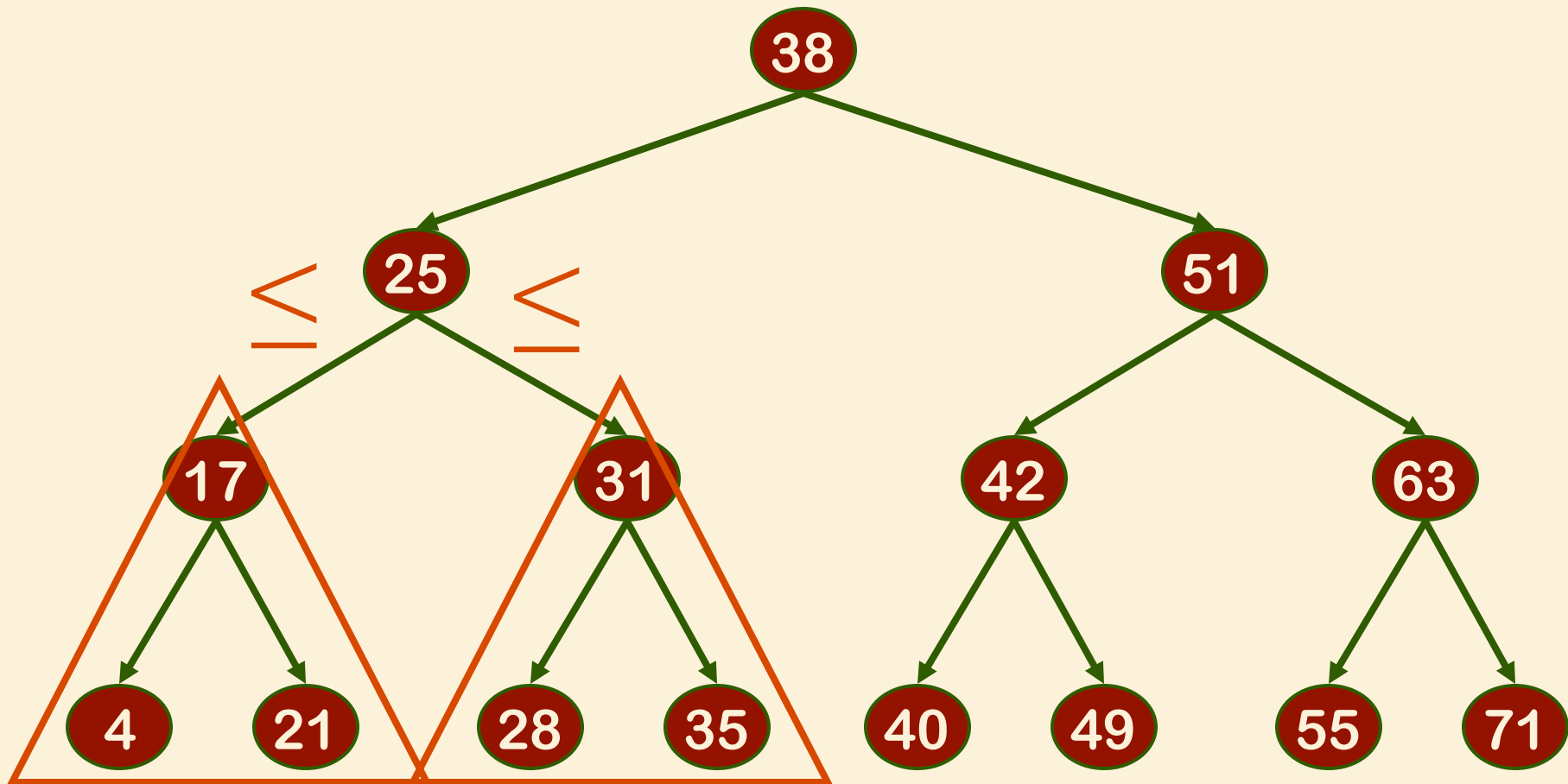
- A binary search tree is a binary tree storing key-value entries at its internal nodes and satisfying the following property:
  - Let  $u$ ,  $v$ , and  $w$  be three nodes such that  $u$  is in the left subtree of  $v$  and  $w$  is in the right subtree of  $v$ . We have  $key(u) \leq key(v) \leq key(w)$
- The textbook assumes that external nodes are 'placeholders': they do not store entries (makes algorithms a little simpler)
- An inorder traversal of a binary search tree visits the keys in increasing order
- Binary search trees are ideal for dictionaries with ordered keys.





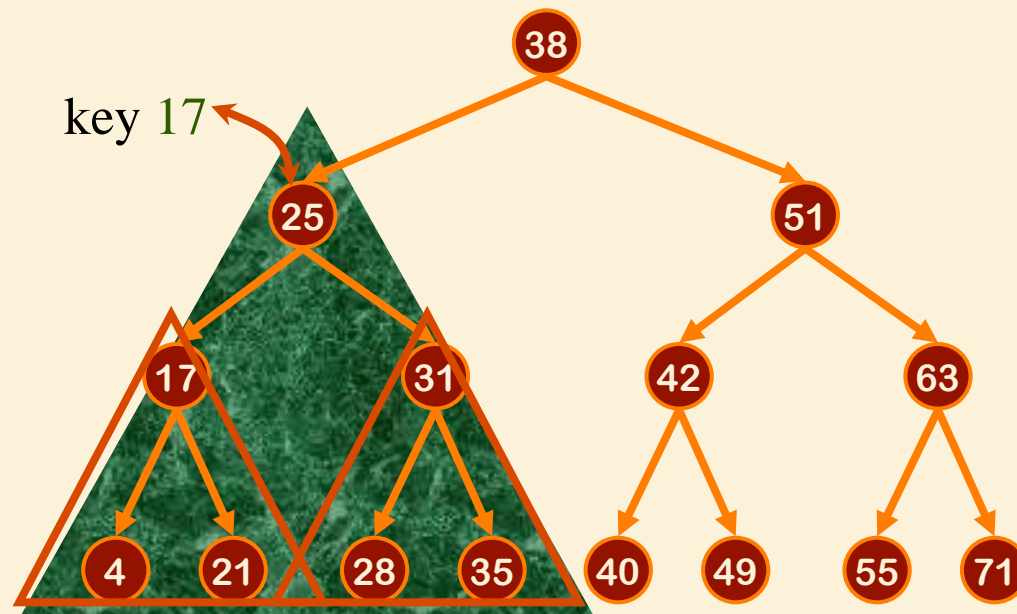
# Binary Search Tree

All nodes in left subtree  $\leq$  Any node  $\leq$  All nodes in right subtree



# Search: Define Step

- Cut sub-tree in half.
- Determine which half the key would be in.
- Keep that half.



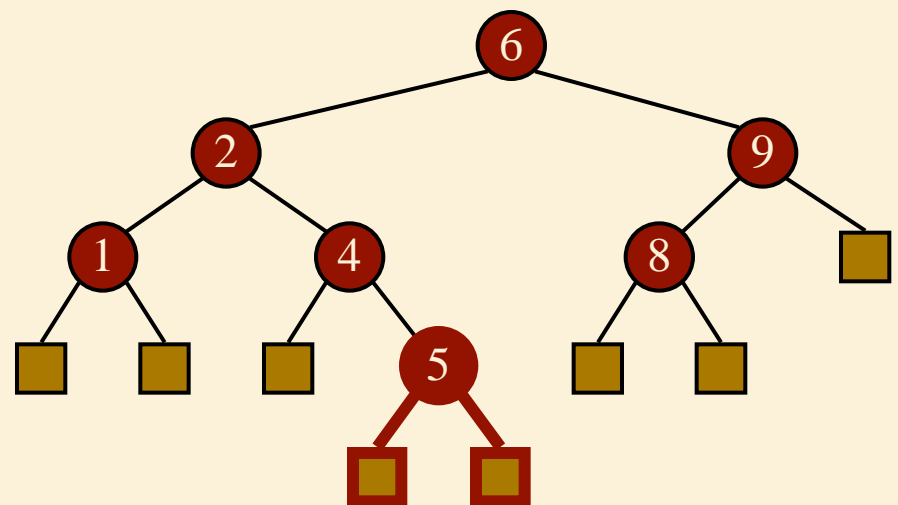
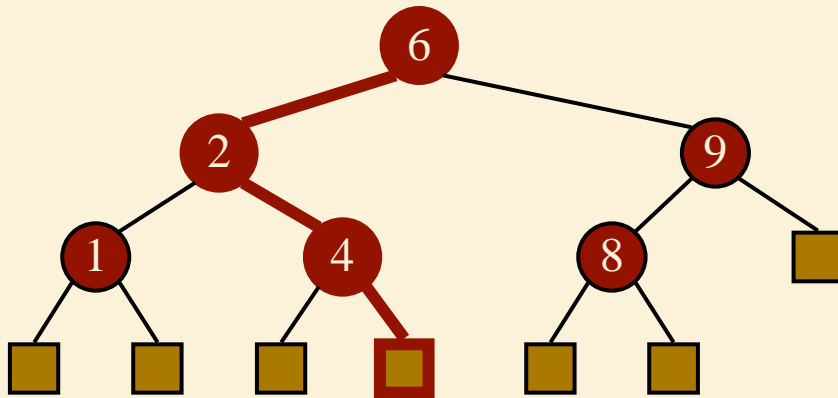
If  $\text{key} < \text{root}$ ,  
then key is  
in left half.

If  $\text{key} = \text{root}$ ,  
then key is  
found

If  $\text{key} > \text{root}$ ,  
then key is  
in right half.

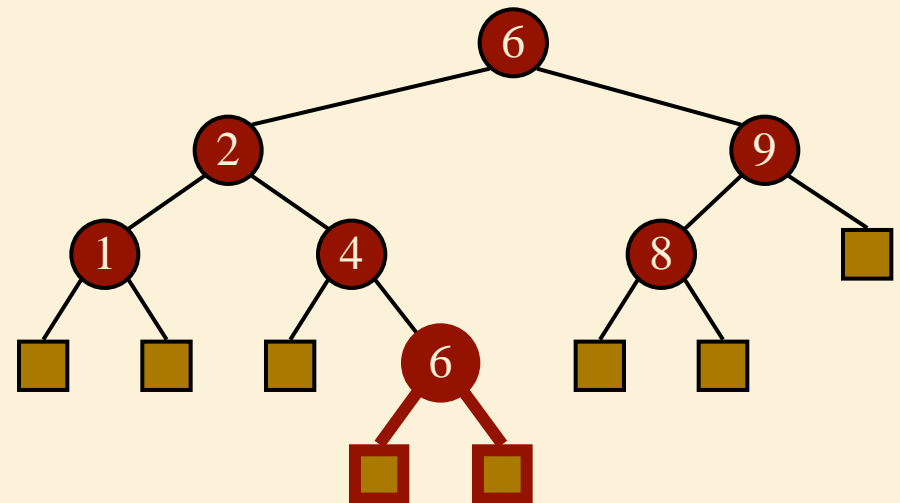
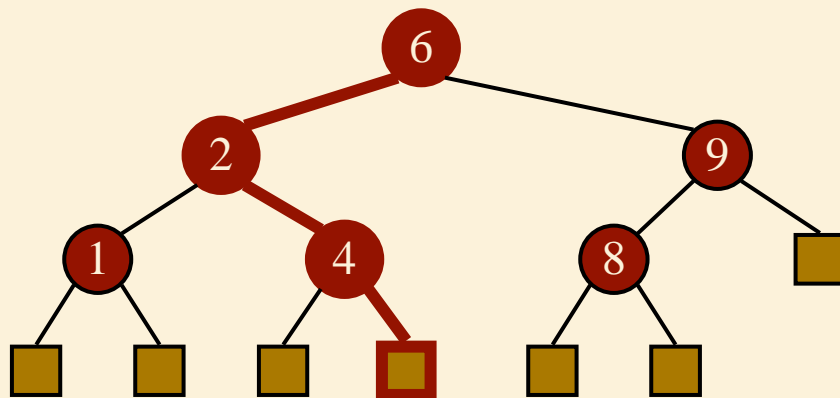
# Insertion

- To perform operation **insert**( $k$ ,  $o$ ), we search for key  **$k$**  (using TreeSearch)
- Suppose  **$k$**  is not already in the tree, and let  **$w$**  be the leaf reached by the search
- We insert  **$k$**  at node  **$w$**  and expand  **$w$**  into an internal node
- Example: insert 5



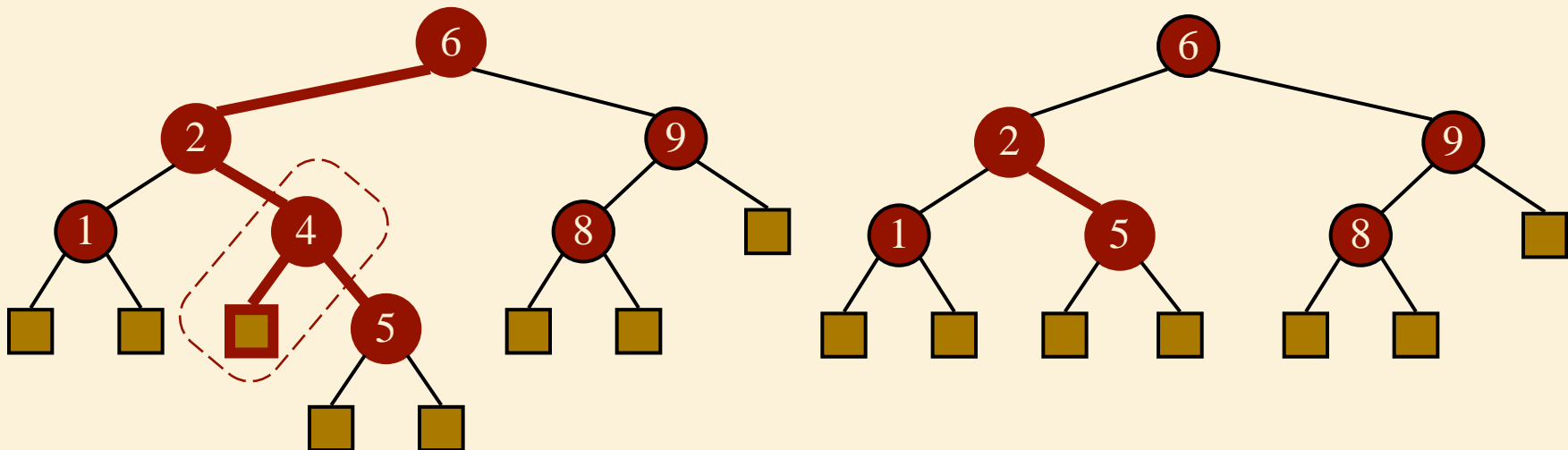
# Insertion

- Suppose **k** is already in the tree, at node **v**.
- We continue the downward search through **v**, and let **w** be the leaf reached by the search
- Note that it would be correct to go either left or right at **v**. We go left by convention.
- We insert **k** at node **w** and expand **w** into an internal node
- Example: insert 6



# Deletion

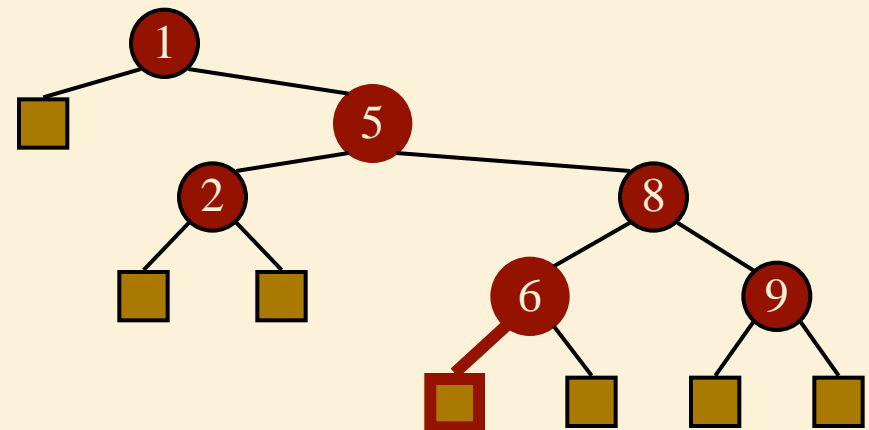
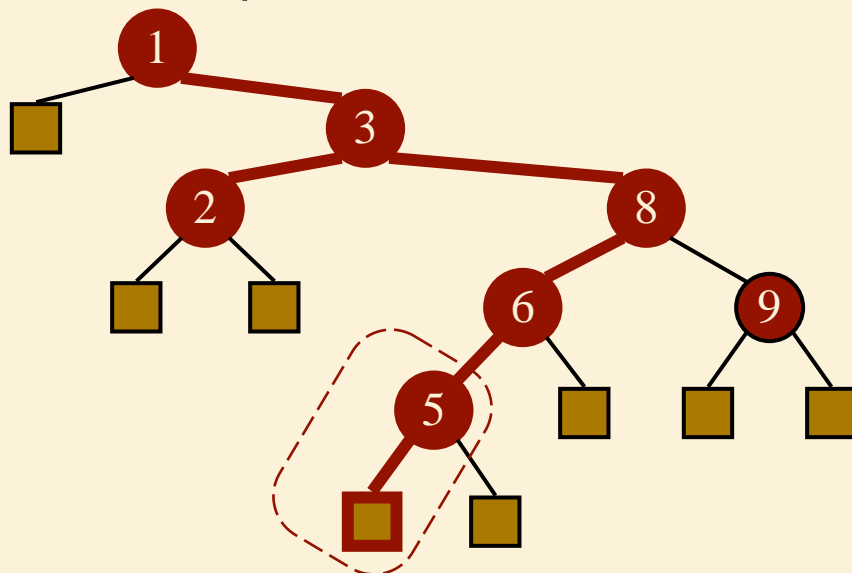
- To perform operation **remove**( $k$ ), we search for key  $k$
- Suppose key  $k$  is in the tree, and let  $v$  be the node storing  $k$
- If node  $v$  has a leaf child  $w$ , we remove  $v$  and  $w$  from the tree with operation **removeExternal**( $w$ ), which removes  $w$  and its parent
- Example: remove 4



## Deletion (cont.)

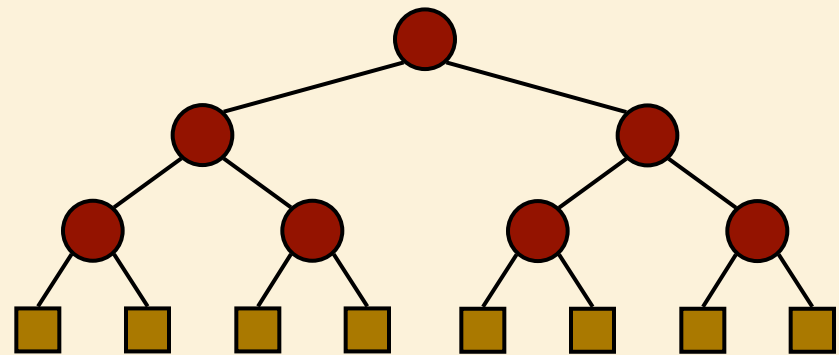
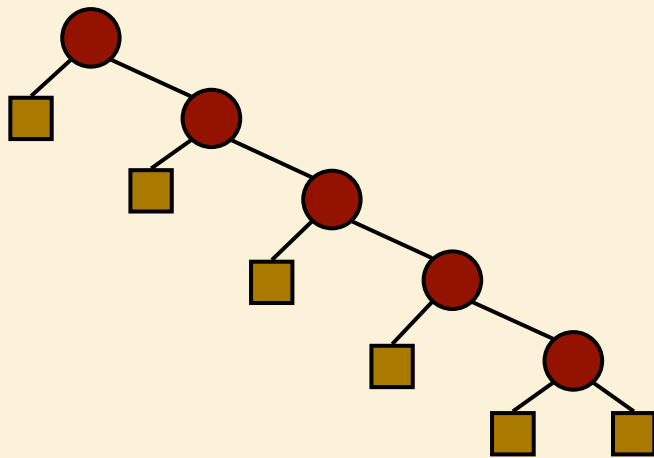
- Now consider the case where the key  $k$  to be removed is stored at a node  $v$  whose children are both internal
  - ❑ we find the internal node  $w$  that follows  $v$  in an inorder traversal
  - ❑ we copy  $key(w)$  into node  $v$
  - ❑ we remove node  $w$  and its left child  $z$  (which must be a leaf) by means of operation **removeExternal**( $z$ )

➤ Example: remove 3



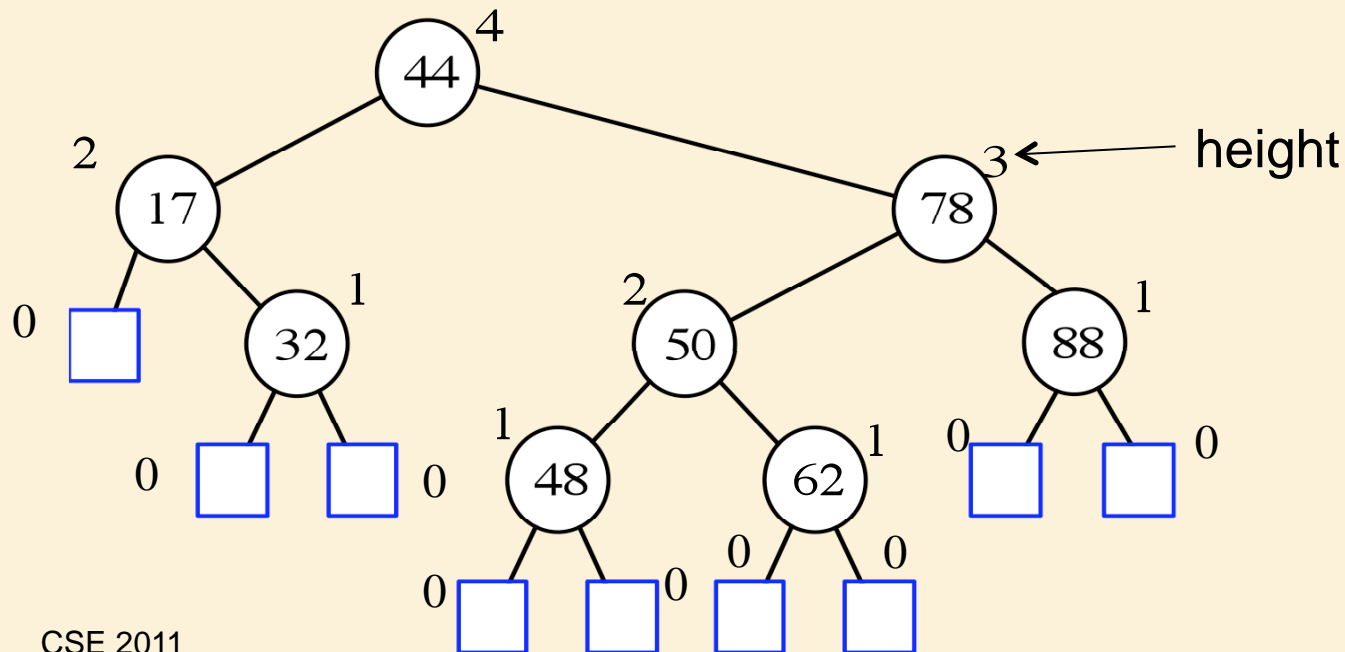
# Performance

- Consider a dictionary with  $n$  items implemented by means of a binary search tree of height  $h$ 
  - ❑ the space used is  $O(n)$
  - ❑ methods **find**, **insert** and **remove** take  $O(h)$  time
- The height  $h$  is  $O(n)$  in the worst case and  $O(\log n)$  in the best case
- It is thus worthwhile to balance the tree (next topic)!



# AVL Trees

- **AVL trees are balanced.**
- An AVL Tree is a **binary search tree** in which the heights of siblings can differ by at most 1.



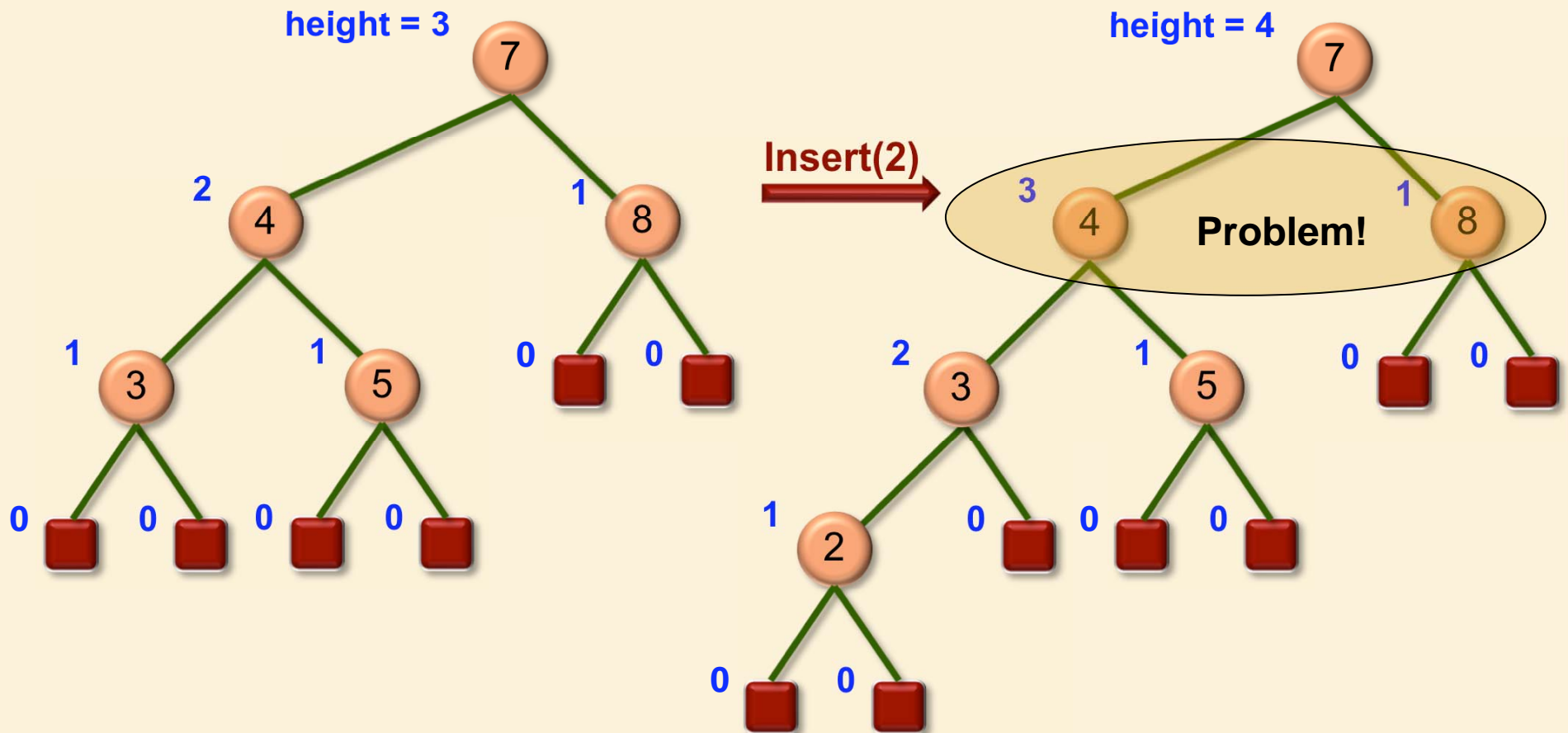


# Height of an AVL Tree

➤ **Claim:** The *height* of an AVL tree storing  $n$  keys is  $O(\log n)$ .

# Insertion

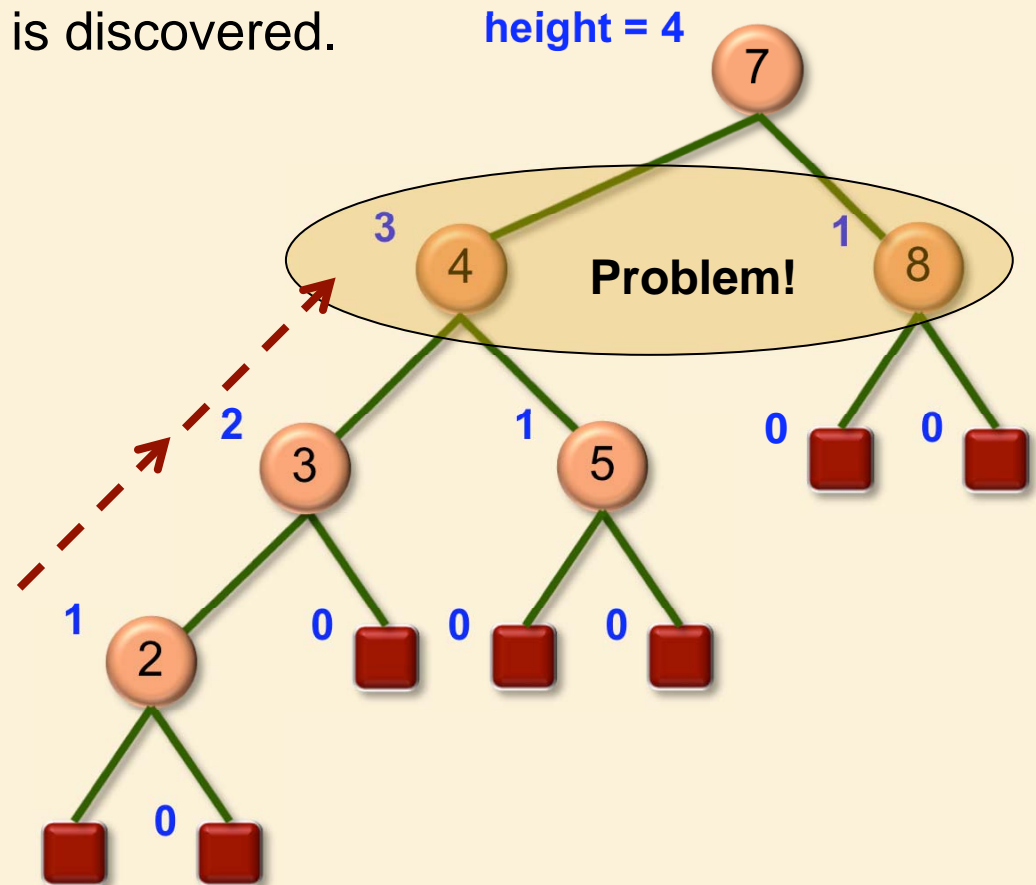
- Imbalance may occur at any ancestor of the inserted node.



# Insertion: Rebalancing Strategy

## ➤ Step 1: Search

- Starting at the inserted node, traverse toward the root until an imbalance is discovered.



# Insertion: Rebalancing Strategy

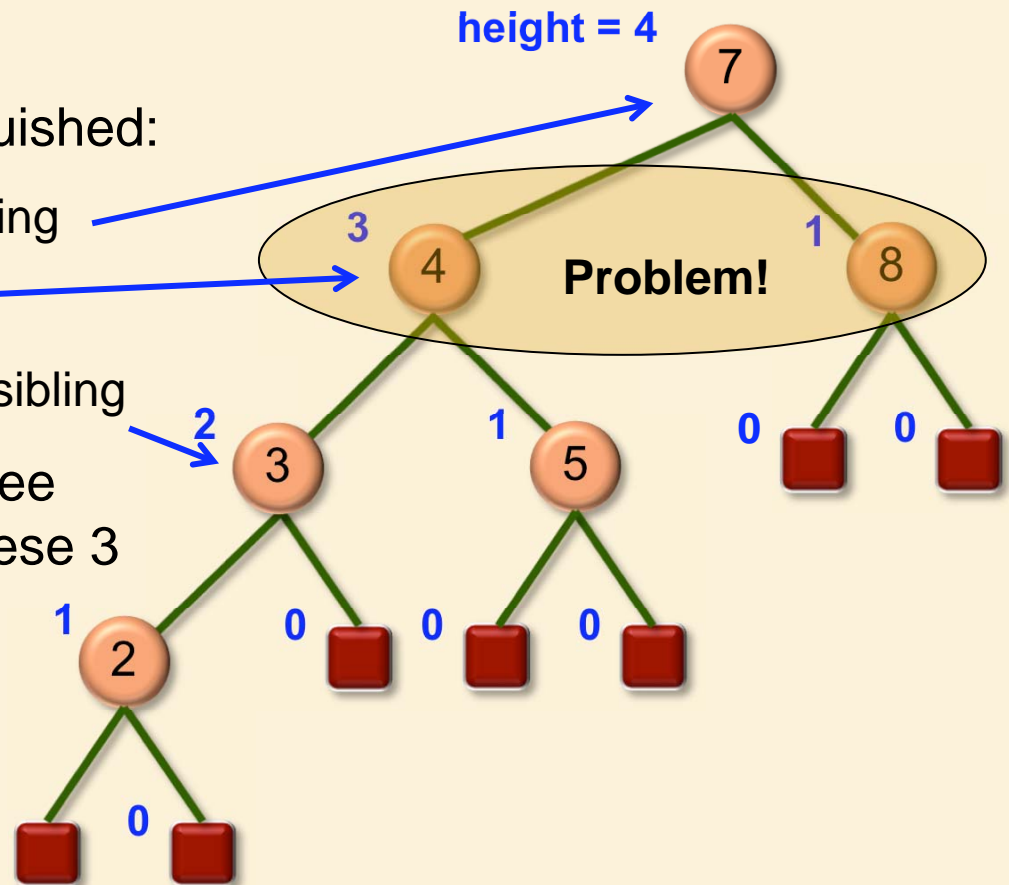
## ➤ Step 2: Repair

❑ The repair strategy is called **trinode restructuring**.

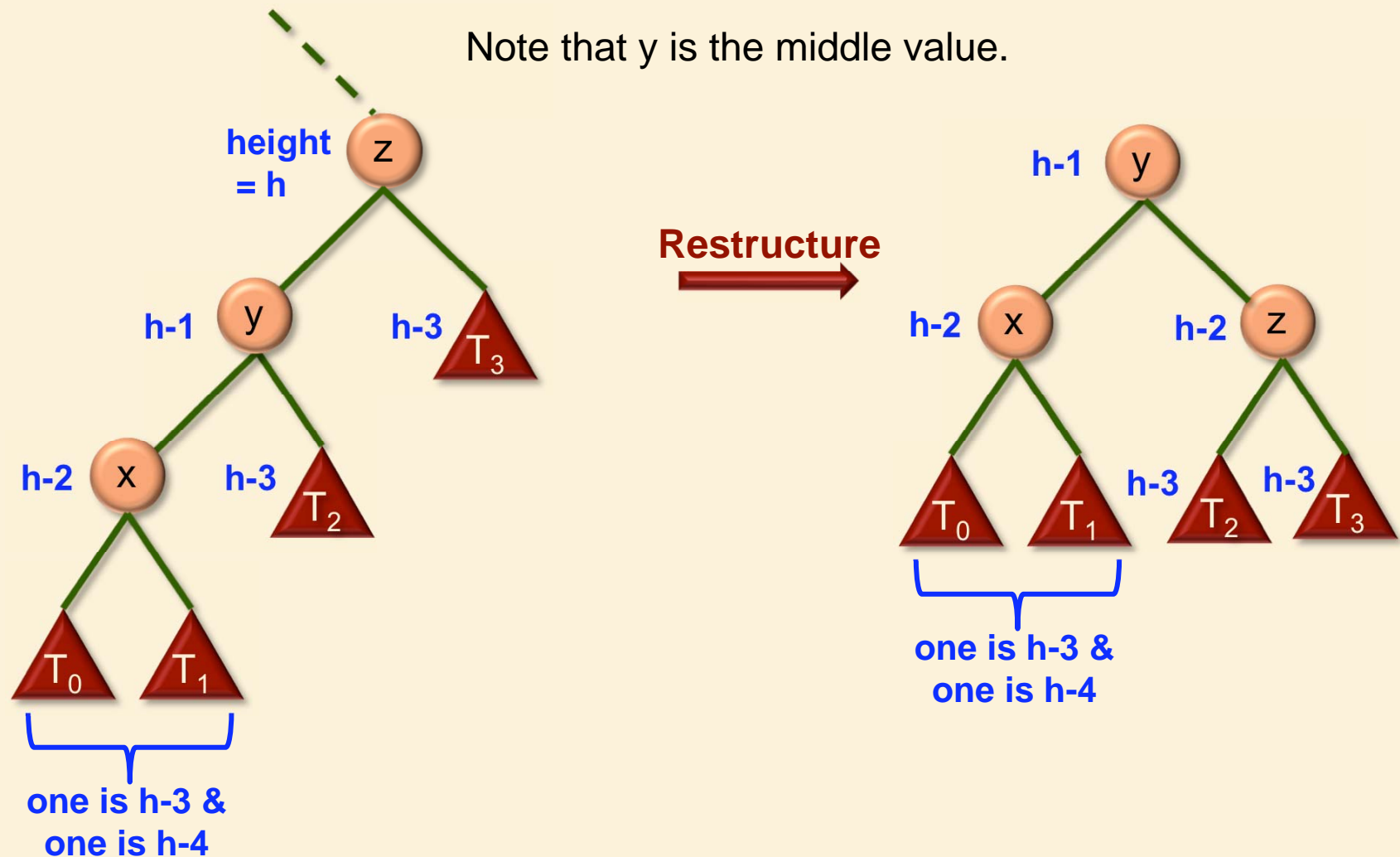
❑ 3 nodes x, y and z are distinguished:

- ✧ z = the parent of the high sibling
- ✧ y = the high sibling
- ✧ x = the high child of the high sibling

❑ We can now think of the subtree rooted at z as consisting of these 3 nodes plus their 4 subtrees

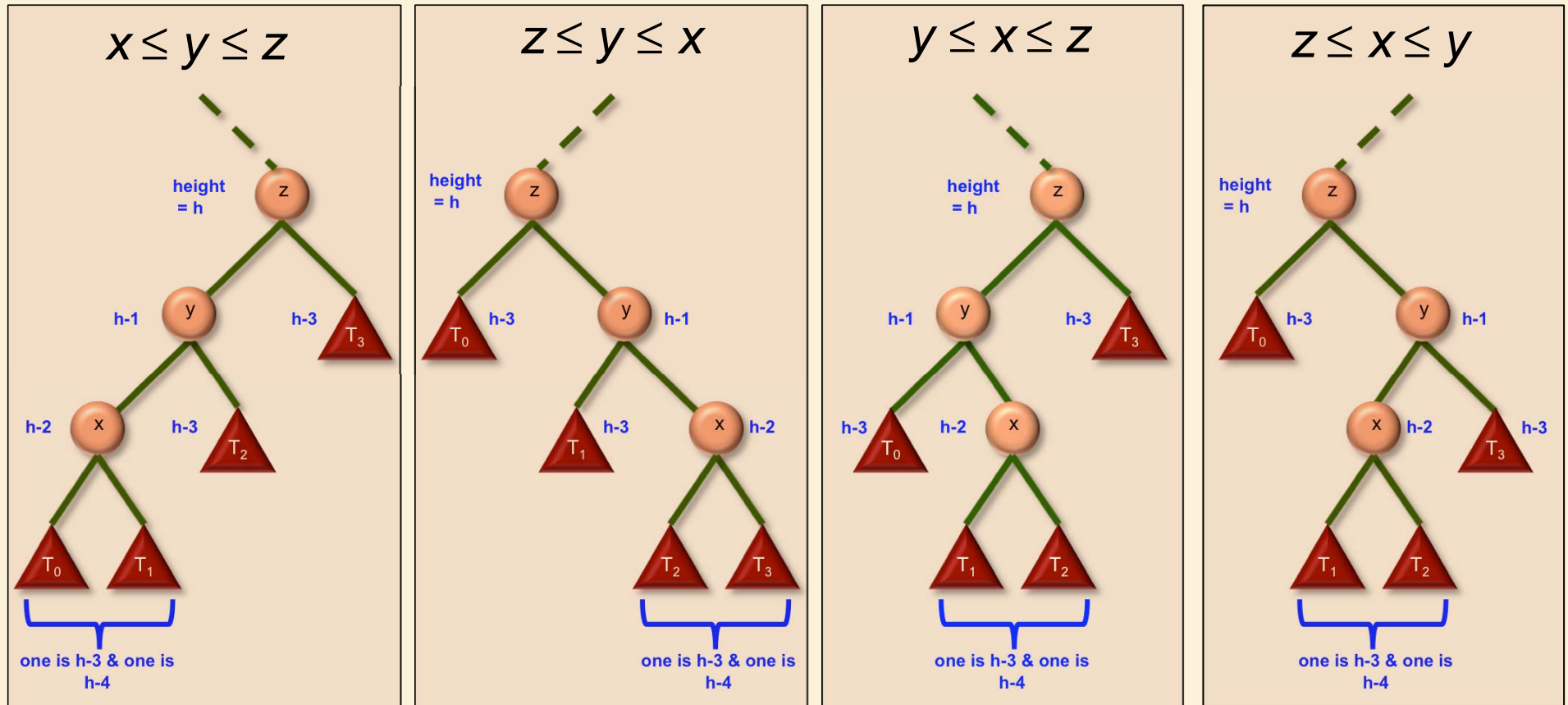


# Insertion: Trinode Restructuring Example



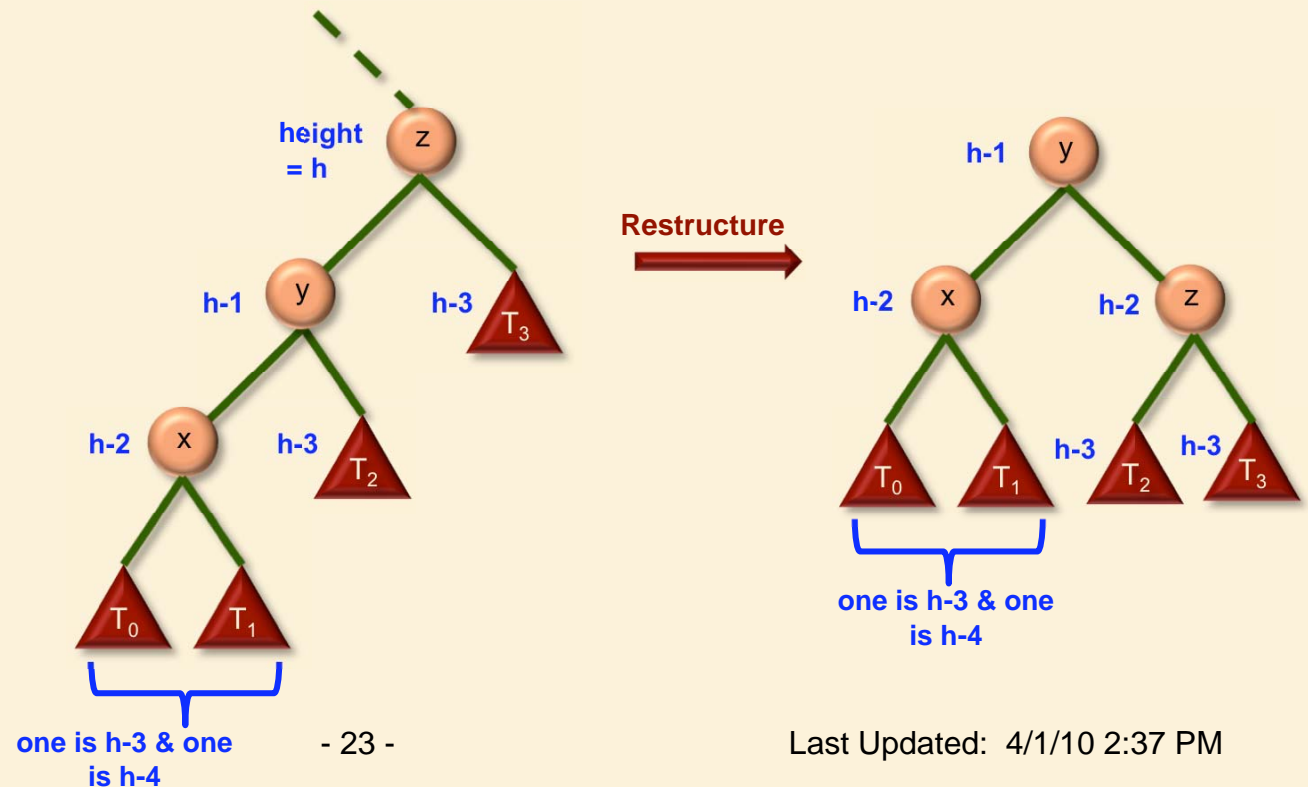
# Insertion: Trinode Restructuring - 4 Cases

- There are 4 different possible relationships between the three nodes x, y and z before restructuring:



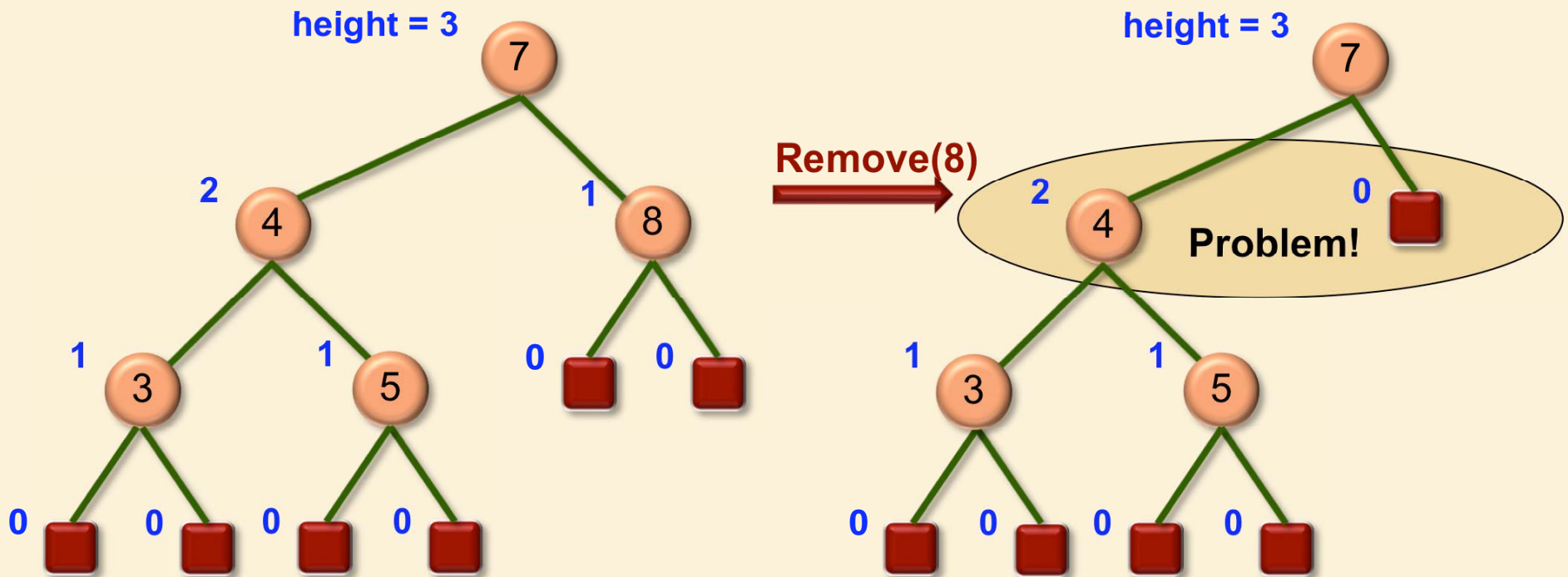
# Insertion: Trinode Restructuring - The Whole Tree

- Do we have to repeat this process further up the tree?
- No!
  - ❑ The tree was balanced before the insertion.
  - ❑ Insertion raised the height of the subtree by 1.
  - ❑ Rebalancing lowered the height of the subtree by 1.
  - ❑ Thus the whole tree is still balanced.



# Removal

- Imbalance may occur at an ancestor of the removed node.

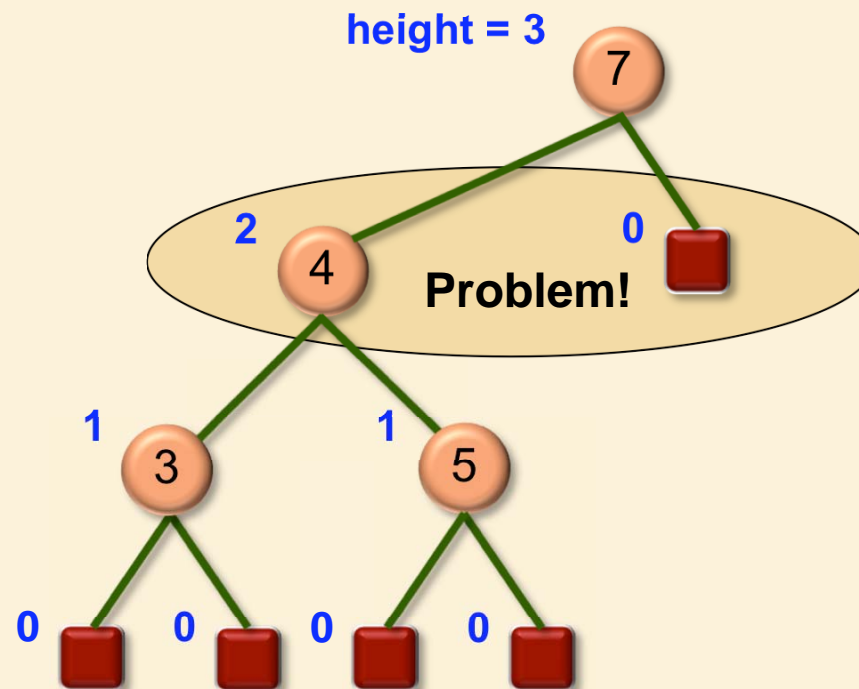




# Removal: Rebalancing Strategy

## ➤ Step 1: Search

- Starting at the location of the removed node, traverse toward the root until an imbalance is discovered.



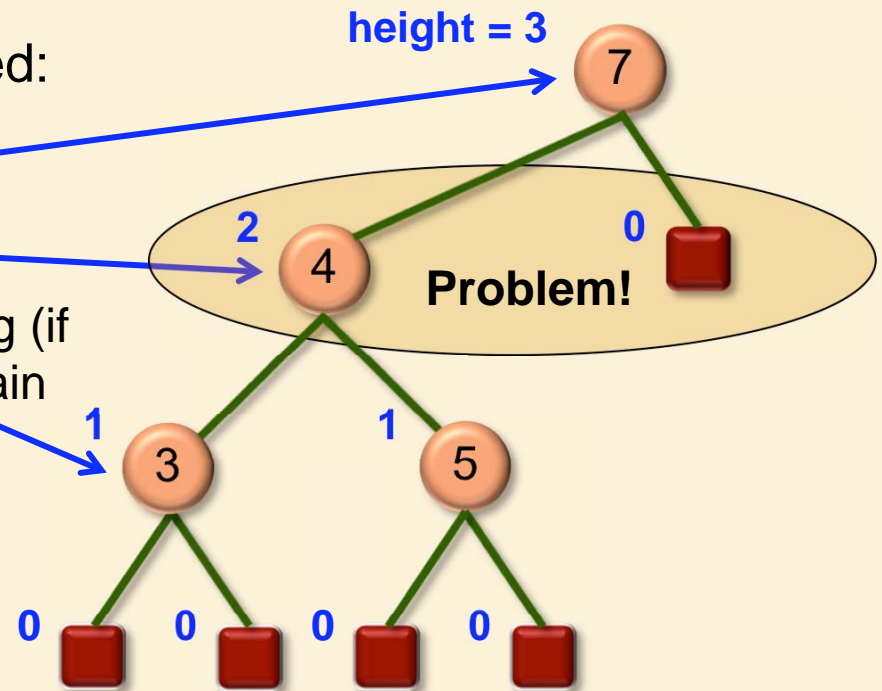
# Removal: Rebalancing Strategy

## ➤ Step 2: Repair

❑ We again use **trinode restructuring**.

❑ 3 nodes x, y and z are distinguished:

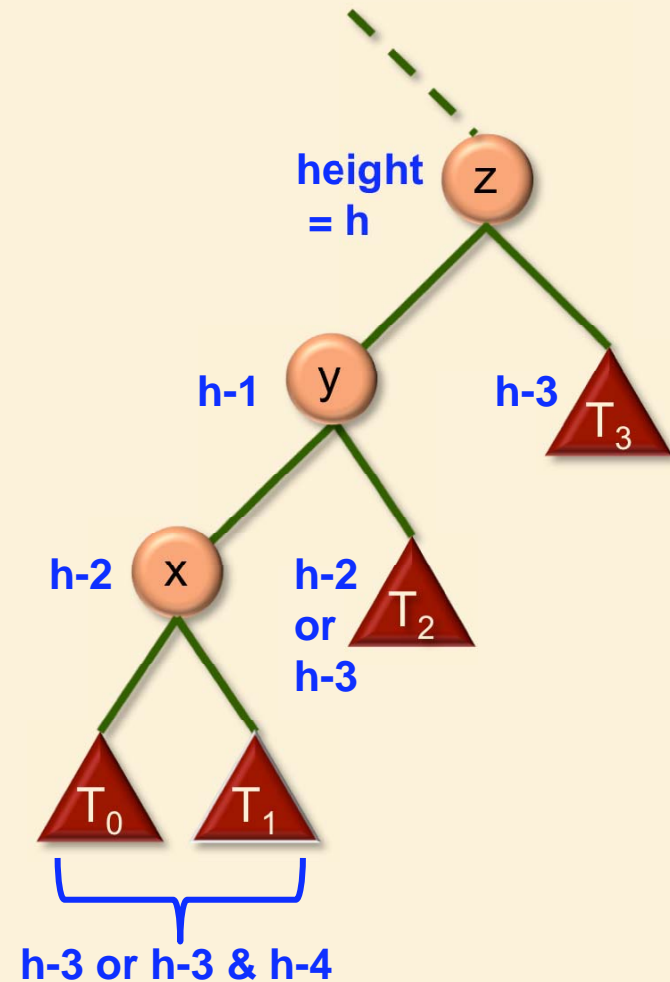
- ✧ z = the parent of the high sibling
- ✧ y = the high sibling
- ✧ x = the high child of the high sibling (if children are equally high, keep chain linear)



# Removal: Rebalancing Strategy

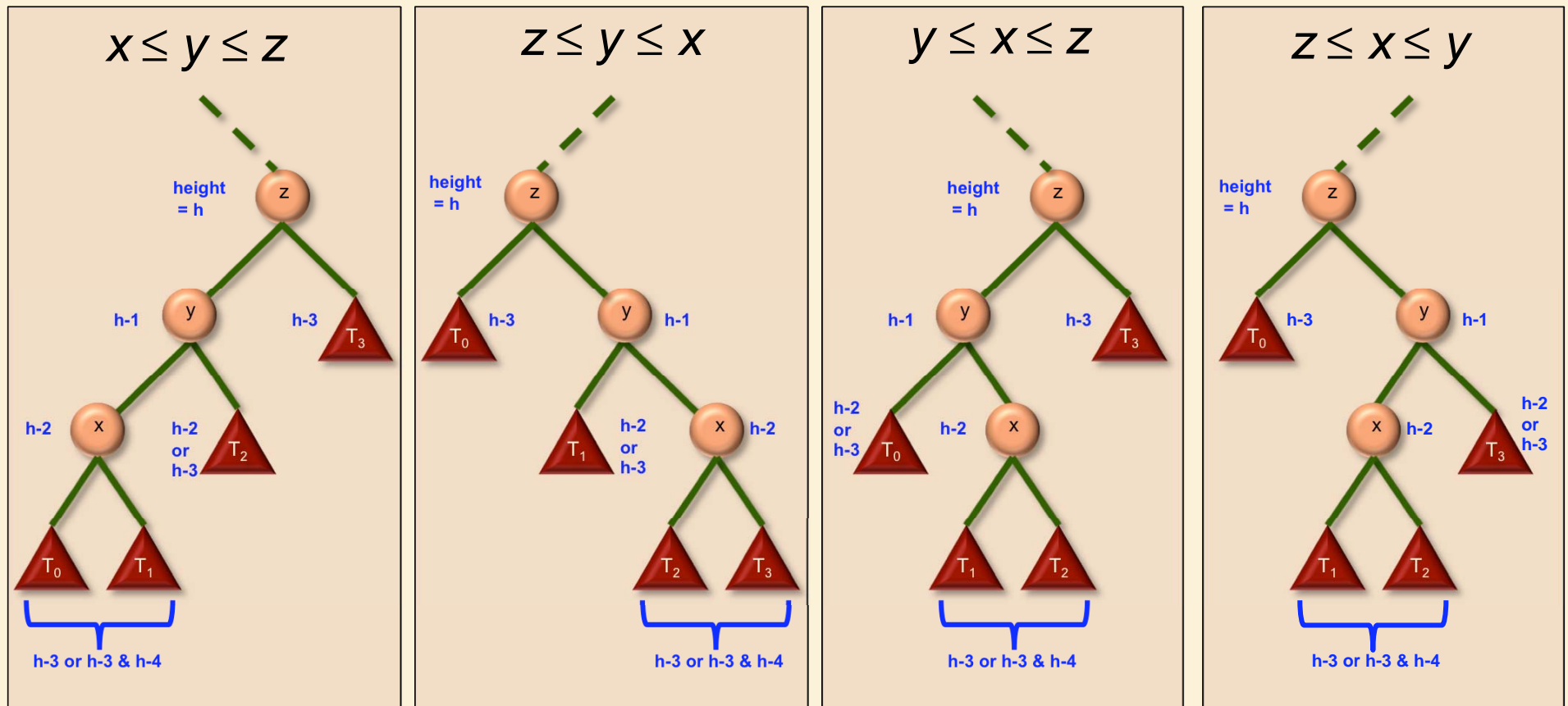
## ➤ Step 2: Repair

- ❑ The idea is to rearrange these 3 nodes so that the middle value becomes the root and the other two becomes its children.
- ❑ Thus the linear **grandparent – parent – child** structure becomes a triangular **parent – two children** structure.
- ❑ Note that **z** must be either bigger than both **x** and **y** or smaller than both **x** and **y**.
- ❑ Thus either **x** or **y** is made the root of this subtree, and **z** is lowered by 1.
- ❑ Then the subtrees **T<sub>0</sub> – T<sub>3</sub>** are attached at the appropriate places.
- ❑ Although the subtrees **T<sub>0</sub> – T<sub>3</sub>** can differ in height by up to 2, after restructuring, sibling subtrees will differ by at most 1.

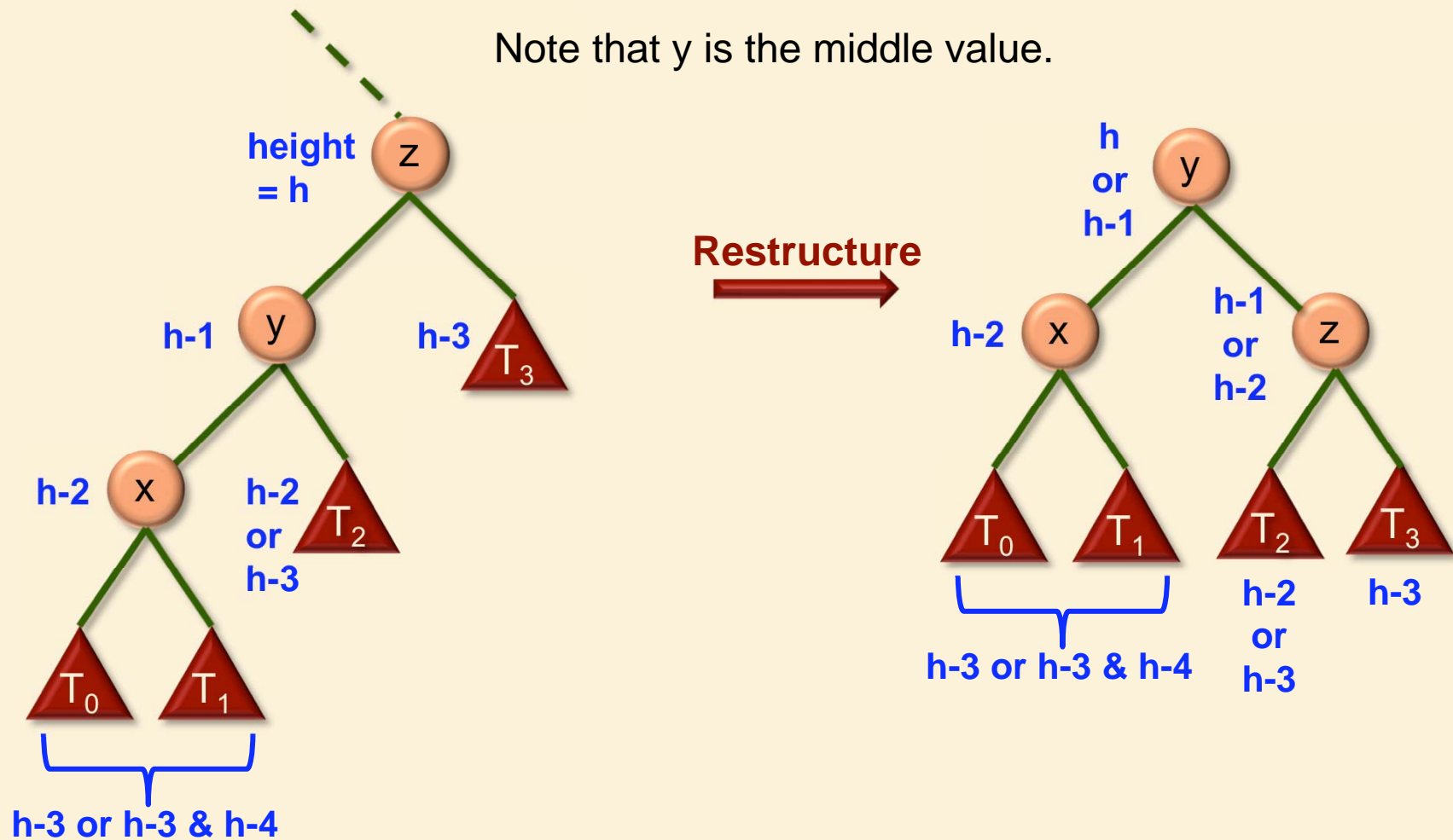


# Removal: Trinode Restructuring - 4 Cases

- There are 4 different possible relationships between the three nodes x, y and z before restructuring:



# Removal: Trinode Restructuring - Case 1



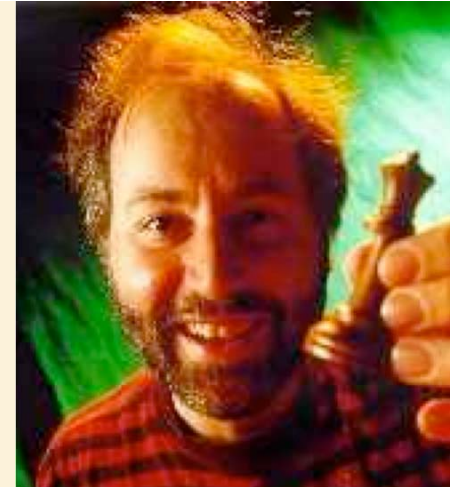
# Removal: Rebalancing Strategy

## ➤ Step 2: Repair

- ❑ Unfortunately, trinode restructuring may reduce the height of the subtree, causing another imbalance further up the tree.
- ❑ Thus this search and repair process must be repeated until we reach the root.

# Splay Trees

- Self-balancing BST
- Invented by Daniel Sleator and Bob Tarjan
- Allows quick access to recently accessed elements
- Bad: worst-case  $O(n)$
- Good: average (amortized) case  $O(\log n)$
- Often perform better than other BSTs in practice



D. Sleator



R. Tarjan

# Splaying

- Splaying is an operation performed on a node that iteratively moves the node to the root of the tree.
- In splay trees, each BST operation (find, insert, remove) is augmented with a splay operation.
- In this way, recently searched and inserted elements are near the top of the tree, for quick access.



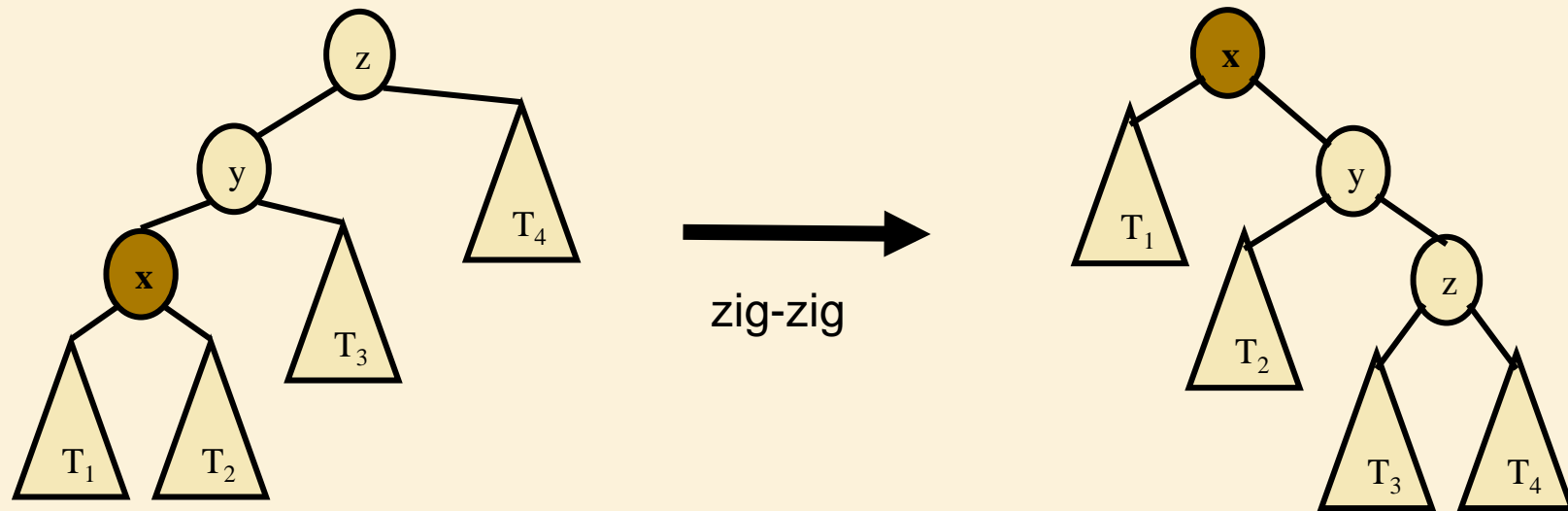
## 3 Types of Splay Steps

- Each splay operation on a node consists of a sequence of splay steps.
- Each splay step moves the node up toward the root by 1 or 2 levels.
- There are 2 types of step:
  - ❑ Zig-Zig
  - ❑ Zig-Zag
  - ❑ Zig

# Zig-Zig

- Performed when the node  $x$  forms a linear chain with its parent and grandparent.

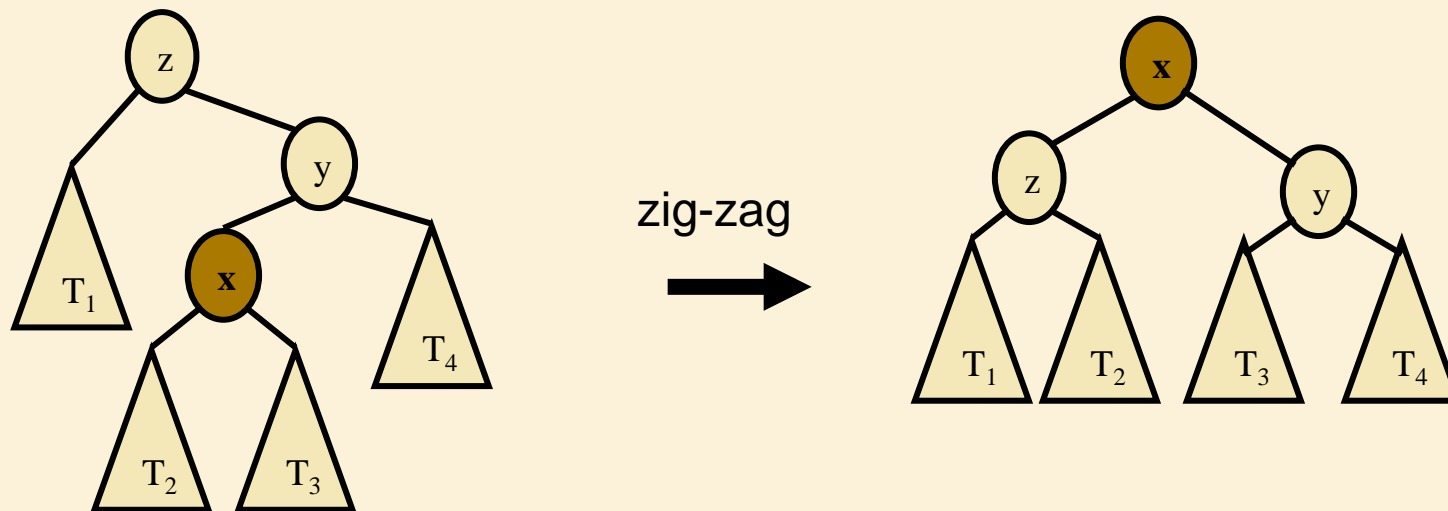
□ i.e., right-right or left-left



# Zig-Zag

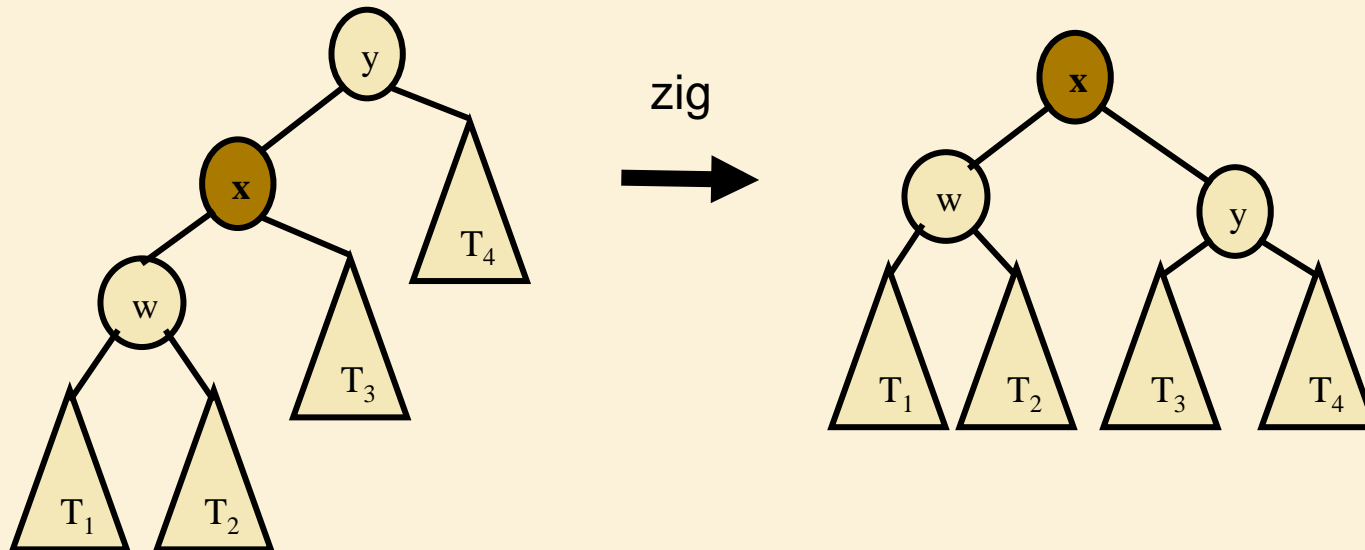
- Performed when the node  $x$  forms a non-linear chain with its parent and grandparent

□ i.e., right-left or left-right



# Zig

- Performed when the node  $x$  has no grandparent
  - i.e., its parent is the root



# Topic 2. Sorting

# Sorting Algorithms

## ➤ Comparison Sorting

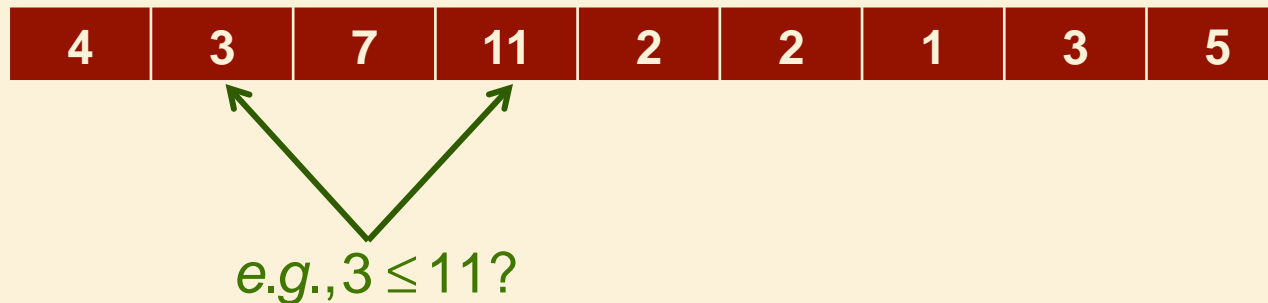
- ☐ Selection Sort
- ☐ Bubble Sort
- ☐ Insertion Sort
- ☐ Merge Sort
- ☐ Heap Sort
- ☐ Quick Sort

## ➤ Linear Sorting

- ☐ Counting Sort
- ☐ Radix Sort
- ☐ Bucket Sort

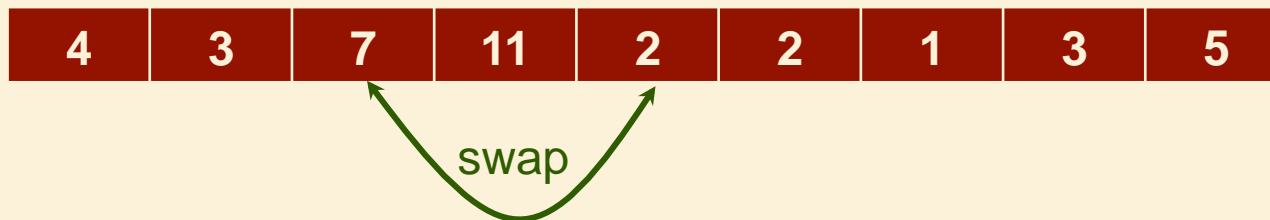
# Comparison Sorts

- Comparison Sort algorithms sort the input by successive comparison of pairs of input elements.
- Comparison Sort algorithms are very general: they make no assumptions about the values of the input elements.



# Sorting Algorithms and Memory

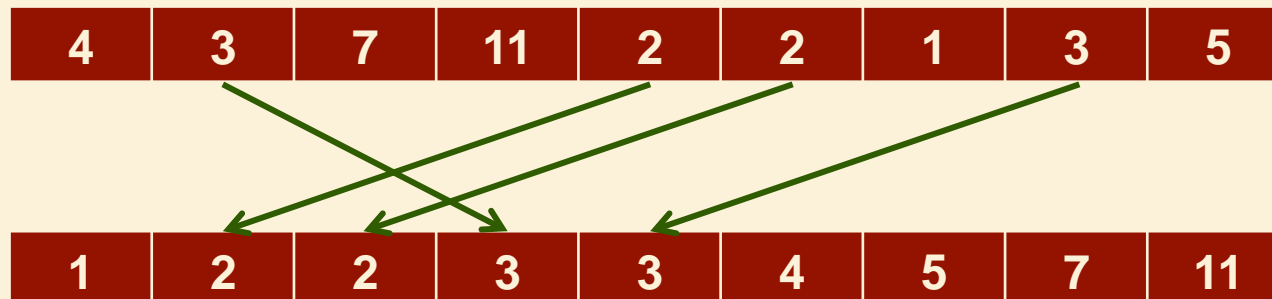
- Some algorithms sort by swapping elements within the input array
- Such algorithms are said to **sort in place**, and require only  $O(1)$  additional memory.
- Other algorithms require allocation of an output array into which values are copied.
- These algorithms do not sort in place, and require  $O(n)$  additional memory.





# Stable Sort

- A sorting algorithm is said to be **stable** if the ordering of identical keys in the input is preserved in the output.
- The stable sort property is important, for example, when entries with identical keys are already ordered by another criterion.
- (Remember that stored with each key is a record containing some useful information.)



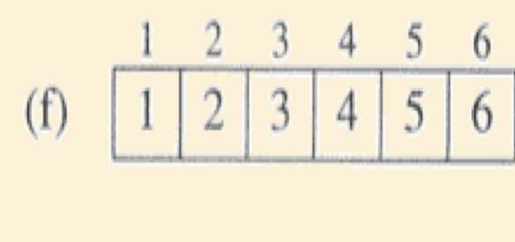
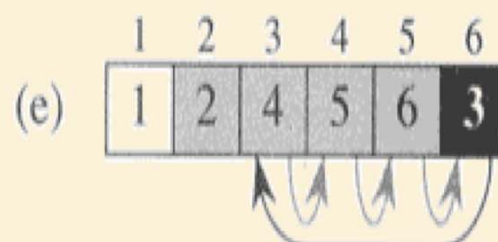
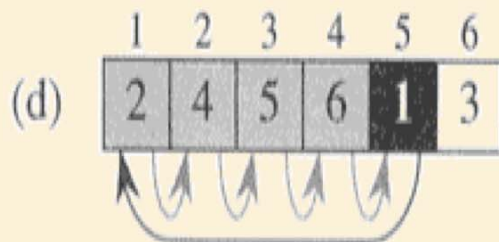
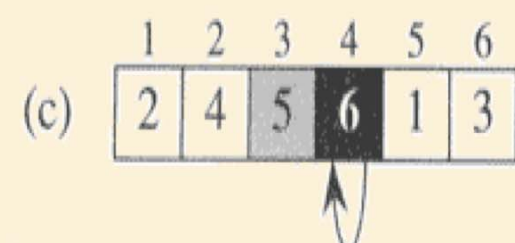
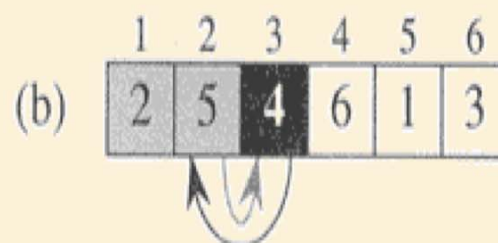
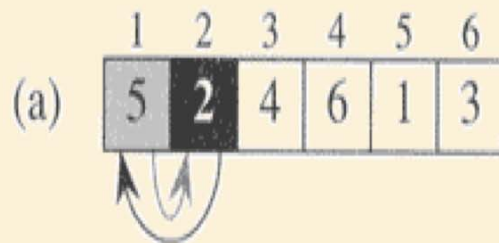
# Selection Sort

- Selection Sort operates by first finding the smallest element in the input list, and moving it to the output list.
- It then finds the next smallest value and does the same.
- It continues in this way until all the input elements have been selected and placed in the output list in the correct order.
- Note that every selection requires a search through the input list.
- Thus the algorithm has a nested loop structure
- Selection Sort Example

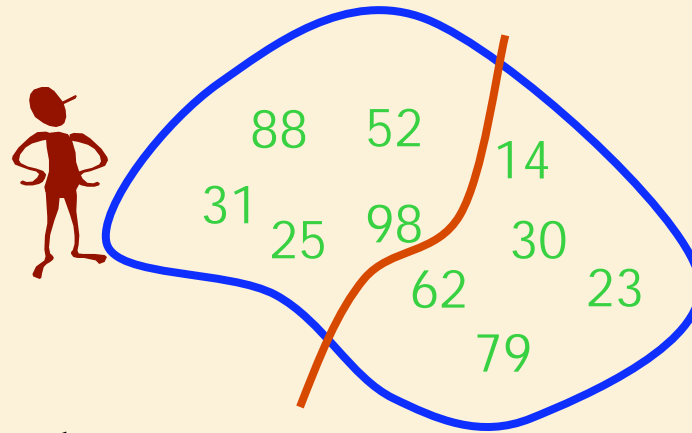
# Bubble Sort

- Bubble Sort operates by successively comparing adjacent elements, swapping them if they are out of order.
- At the end of the first pass, the largest element is in the correct position.
- A total of  $n$  passes are required to sort the entire array.
- Thus bubble sort also has a nested loop structure
- Bubble Sort Example

# Example: Insertion Sort



# Merge Sort



Split Set into Two  
(no real work)

Get one friend to  
sort the first half.



25,31,52,88,98

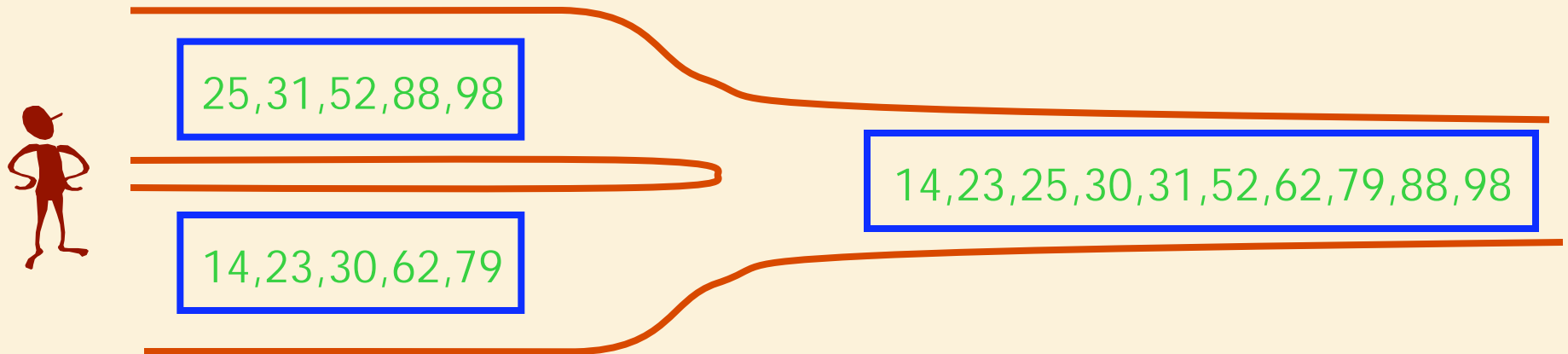
Get one friend to  
sort the second half.



14,23,30,62,79

# Merge Sort

Merge two sorted lists into one

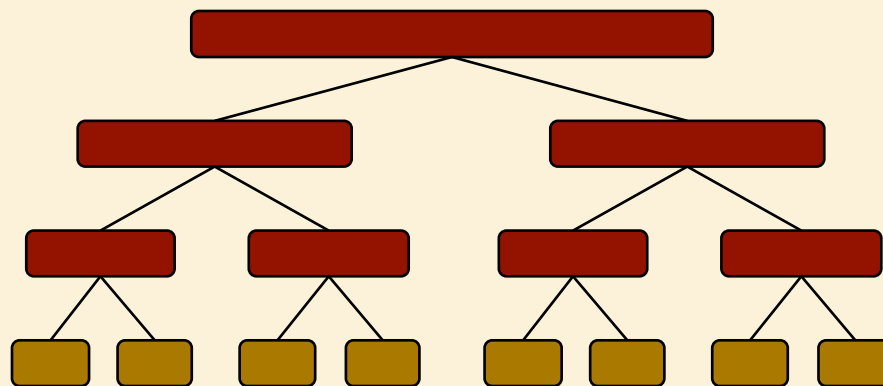


# Analysis of Merge-Sort

- The height  $h$  of the merge-sort tree is  $O(\log n)$ 
  - at each recursive call we divide in half the sequence,
- The overall amount of work done at the nodes of depth  $i$  is  $O(n)$ 
  - we partition and merge  $2^i$  sequences of size  $n/2^i$
  - we make  $2^{i+1}$  recursive calls
- Thus, the total running time of merge-sort is  $O(n \log n)$

$$T(n) = 2T(n/2) + O(n)$$

depth	#seqs	size
0	1	$n$
1	2	$n/2$
$i$	$2^i$	$n/2^i$
...	...	...



# Heap-Sort Algorithm

- Build an array-based (max) heap
- Iteratively call `removeMax()` to extract the keys in descending order
- Store the keys as they are extracted in the unused tail portion of the array



# Heap-Sort Running Time

- The heap can be built bottom-up in  $O(n)$  time
- Extraction of the  $i$ th element takes  $O(\log(n - i + 1))$  time (for downheaping)
- Thus total run time is

$$\begin{aligned} T(n) &= O(n) + \sum_{i=1}^n \log(n - i + 1) \\ &= O(n) + \sum_{i=1}^n \log i \\ &\leq O(n) + \sum_{i=1}^n \log n \\ &= O(n \log n) \end{aligned}$$

# Quick-Sort

➤ **Quick-sort** is a divide-and-conquer algorithm:

❑ **Divide**: pick a random element  $x$  (called a **pivot**) and partition  $S$  into

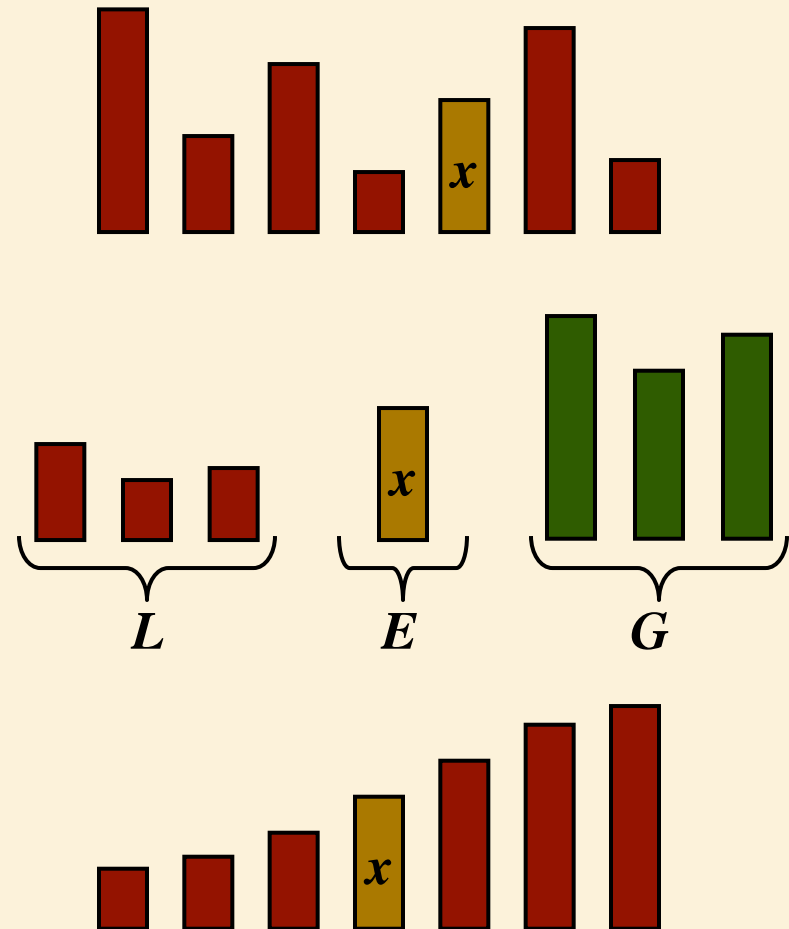
✧  $L$  elements less than  $x$

✧  $E$  elements equal to  $x$

✧  $G$  elements greater than  $x$

❑ **Recur**: Quick-sort  $L$  and  $G$

❑ **Conquer**: join  $L$ ,  $E$  and  $G$



# The Quick-Sort Algorithm

## Algorithm **QuickSort**(S)

if S.size() > 1

(L, E, G) = Partition(S)

QuickSort(L)

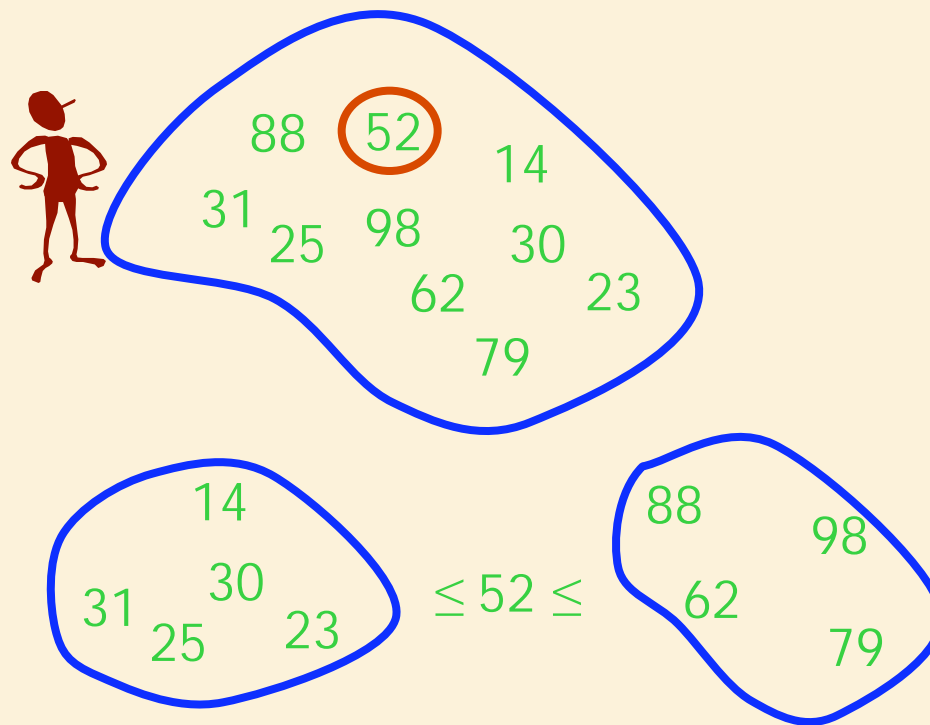
QuickSort(G)

S = (L, E, G)

# In-Place Quick-Sort

- **Note:** Use the lecture slides here instead of the textbook implementation (Section 11.2.2)

Partition set into **two** using randomly chosen pivot



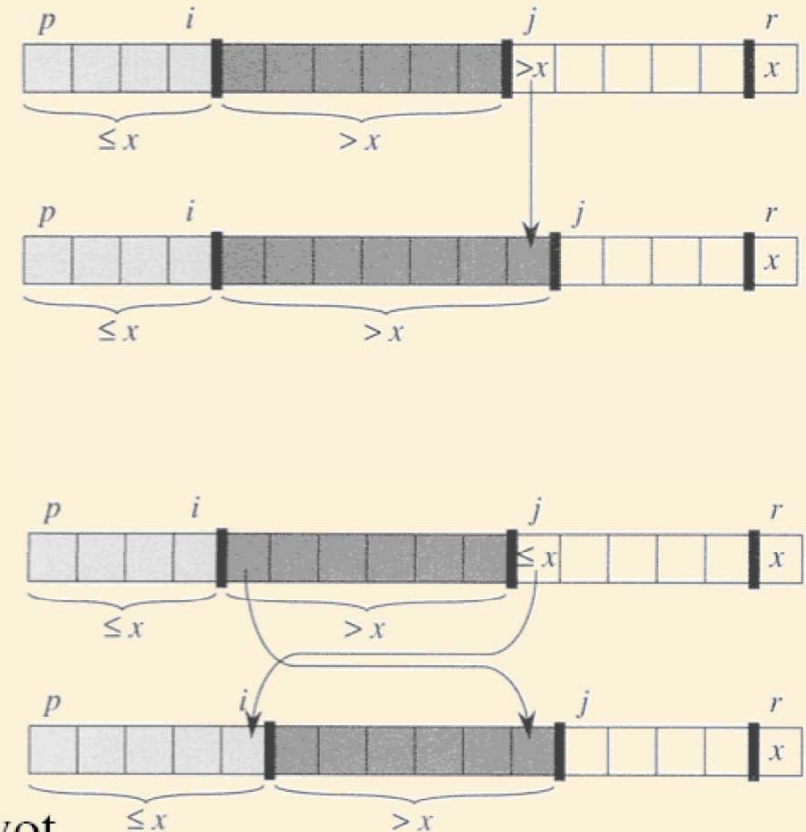
# Maintaining Loop Invariant

PARTITION( $A, p, r$ )

```
1   $x \leftarrow A[r]$ 
2   $i \leftarrow p - 1$ 
3  for  $j \leftarrow p$  to  $r - 1$ 
4      do if  $A[j] \leq x$ 
5          then  $i \leftarrow i + 1$ 
6              exchange  $A[i] \leftrightarrow A[j]$ 
7  exchange  $A[i + 1] \leftrightarrow A[r]$ 
8  return  $i + 1$ 
```

**Loop invariant:**

1. All entries in  $A[p \dots i]$  are  $\leq$  pivot.
2. All entries in  $A[i + 1 \dots j - 1]$  are  $>$  pivot.
3.  $A[r] =$  pivot.



# The In-Place Quick-Sort Algorithm

**Algorithm QuickSort**(A, p, r)

if  $p < r$

$q = \text{Partition}(A, p, r)$

    QuickSort(A, p,  $q - 1$ )

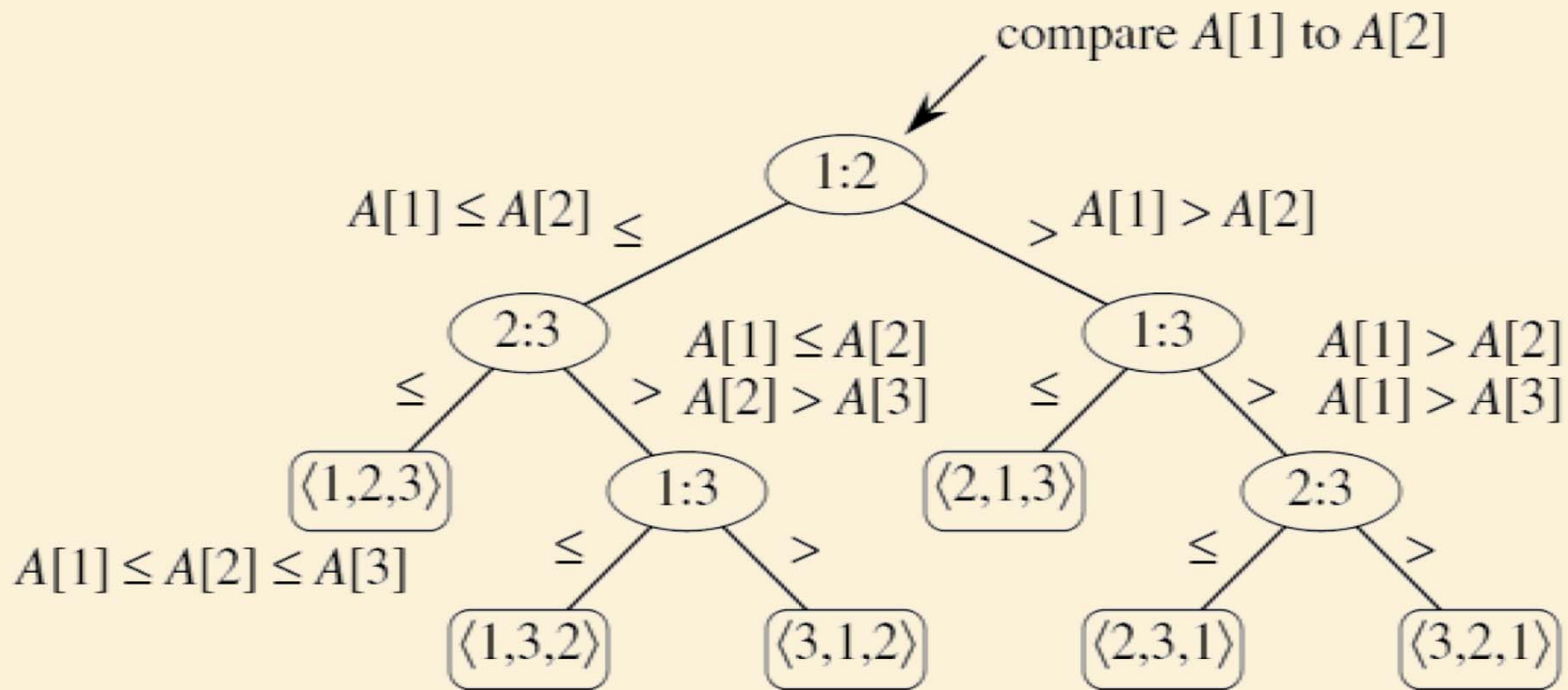
    QuickSort(A,  $q + 1$ , r)

# Summary of Comparison Sorts

Algorithm	Best Case	Worst Case	Average Case	In Place	Stable	Comments
Selection	$n^2$	$n^2$		Yes	Yes	
Bubble	$n$	$n^2$		Yes	Yes	
Insertion	$n$	$n^2$		Yes	Yes	Good if often almost sorted
Merge	$n \log n$	$n \log n$		No	Yes	Good for very large datasets that require swapping to disk
Heap	$n \log n$	$n \log n$		Yes	No	Best if guaranteed $n \log n$ required
Quick	$n \log n$	$n^2$	$n \log n$	Yes	No	Usually fastest in practice

# Comparison Sort: Decision Trees

- For a 3-element array, there are 6 external nodes.
- For an  $n$ -element array, there are  $n!$  external nodes.





# Comparison Sort

- To store  $n!$  external nodes, a decision tree must have a height of at least  $\lceil \log n! \rceil$
- Worst-case time is equal to the height of the binary decision tree.

Thus  $T(n) \in \Omega(\log n!)$

$$\text{where } \log n! = \sum_{i=1}^n \log i \geq \sum_{i=1}^{\lfloor n/2 \rfloor} \log \lfloor n/2 \rfloor \in \Omega(n \log n)$$

Thus  $T(n) \in \Omega(n \log n)$

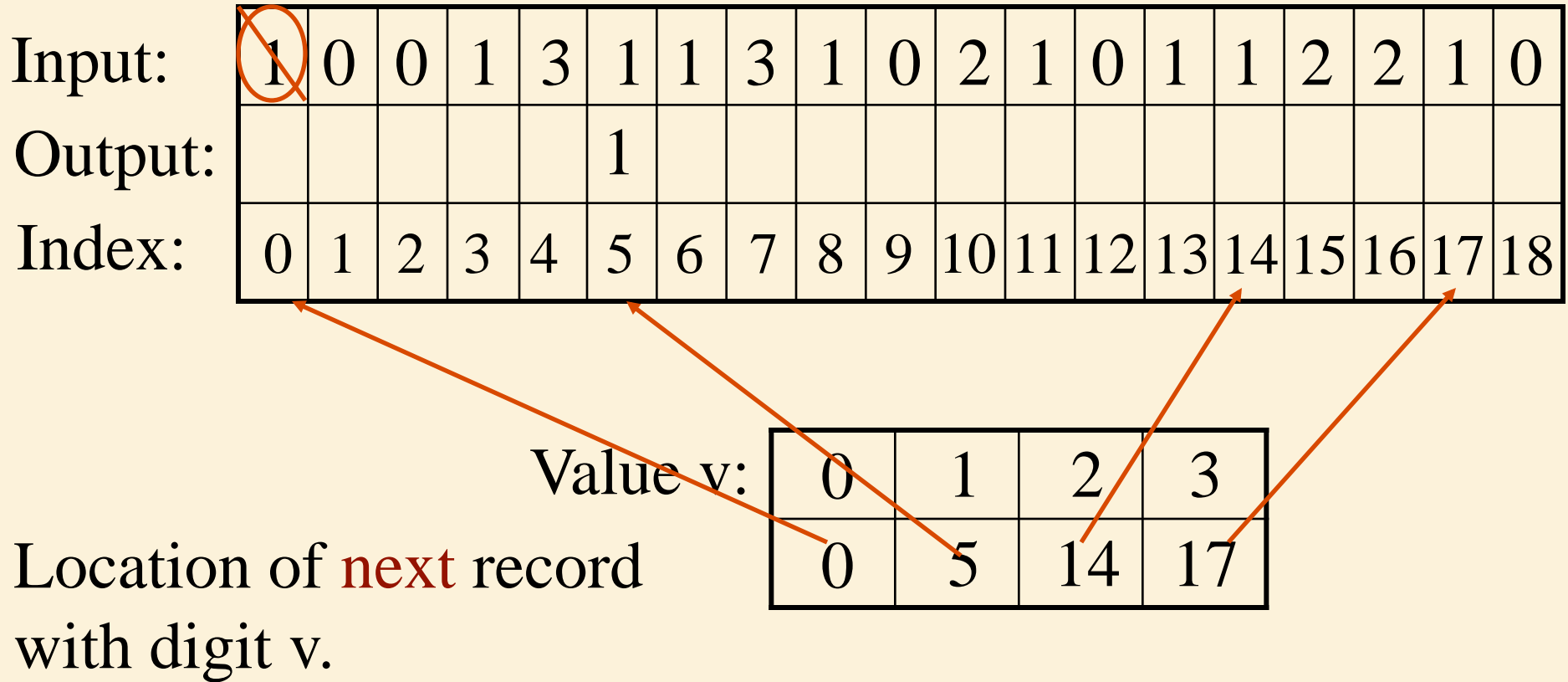
**Thus MergeSort & HeapSort are asymptotically optimal.**

# Linear Sorts?

Comparison sorts are very general, but are  $\Omega(n \log n)$

Faster sorting may be possible if we can constrain the nature of the input.

# CountingSort



Algorithm: Go through the records in order putting them where they go.

# CountingSort

Input:	1	0	0	1	3	1	1	3	1	0	2	1	0	1	1	2	2	1	0
Output:	0					1													
Index:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

Value v:

0	1	2	3
0	6	14	17

Location of **next** record  
with digit v.

Algorithm: Go through the records in order  
putting them where they go.

# RadixSort

344

125

333

134

224

334

143

225

325

243

Sort wrt which  
digit first?

The least  
significant.

333

143

243

344

134

224

334

125

225

325

Sort wrt which  
digit Second?

The next least  
significant.

2 24

1 25

2 25

3 25

3 33

1 34

3 34

1 43

2 43

3 44

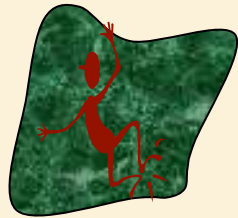


Is sorted wrt least sig. 2 digits.

# RadixSort

2	24
1	25
2	25
3	25
3	33
1	34
3	34
1	43
2	43
3	44

$i+1$

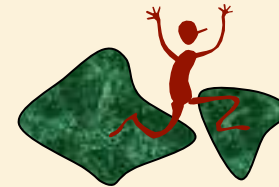


Is sorted wrt  
first  $i$  digits.



Sort wrt  $i+1$ st  
digit.

1	25
1	34
1	43
<hr/>	
2	24
2	25
2	43
<hr/>	
3	25
3	33
3	34
3	44



Is sorted wrt  
first  $i+1$  digits.

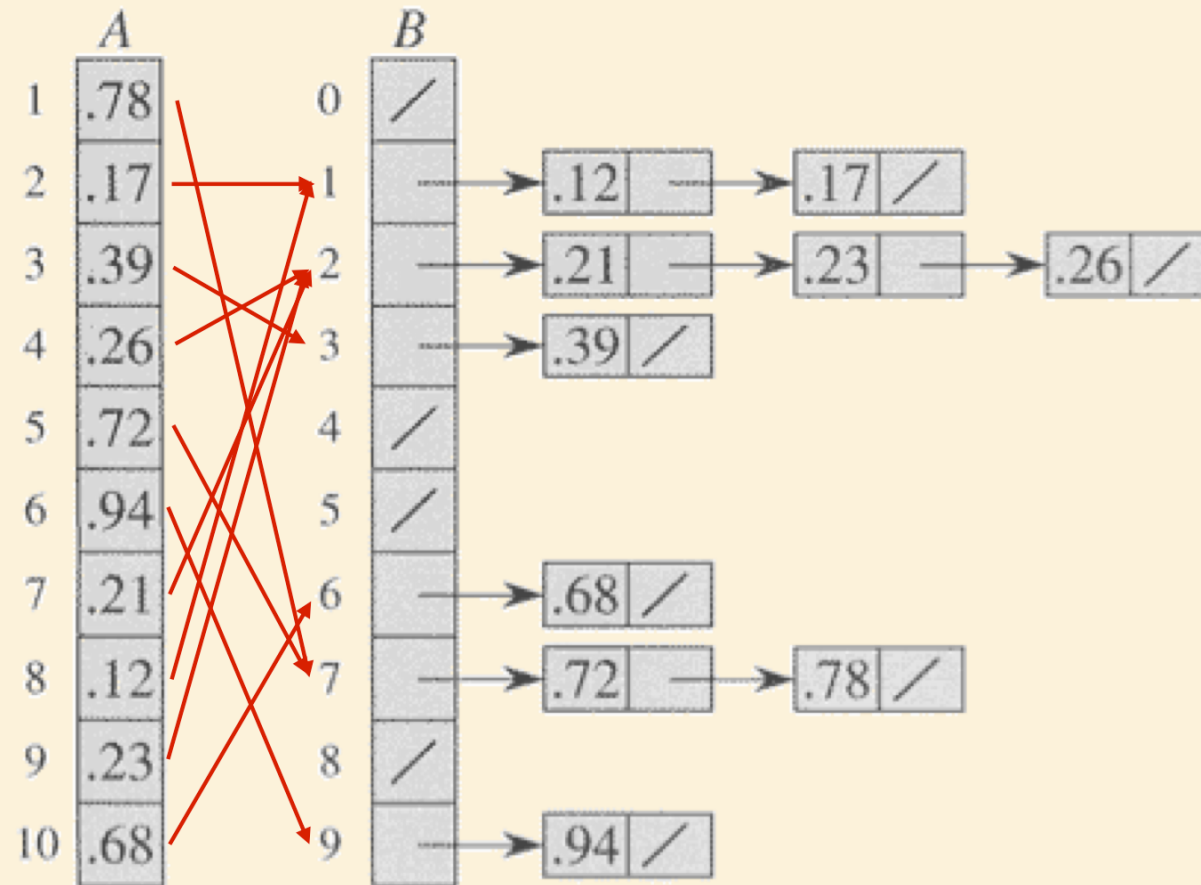
These are in the  
correct order  
because sorted  
wrt high order digit

## Example 3. Bucket Sort

- Applicable if input is constrained to finite interval, e.g.,  $[0 \dots 1)$ .
- If input is random and uniformly distributed, **expected** run time is  $\Theta(n)$ .

# Bucket Sort

insert  $A[i]$  into list  $B[\lfloor n \cdot A[i] \rfloor]$





# Topic 3. Graphs

# Graphs

- Definitions & Properties
- Implementations
- Depth-First Search
- Topological Sort
- Breadth-First Search
- Weighted Graphs
- Single-Source Shortest Path on DAGs
- General Single-Source Shortest Path (Dijkstra's Algorithm)

# Properties

## Property 1

$$\sum_v \deg(v) = 2|E|$$

Proof: each edge is counted twice

## Notation

$|V|$  number of vertices

$|E|$  number of edges

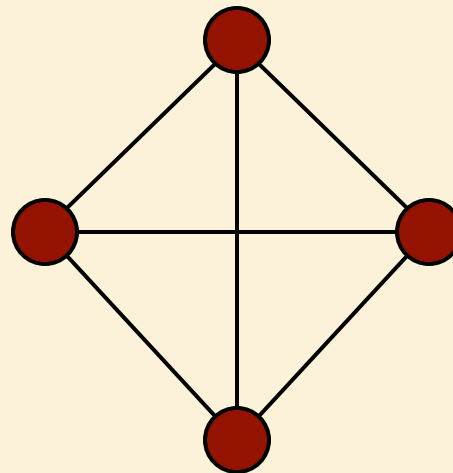
$\deg(v)$  degree of vertex  $v$

## Property 2

In an undirected graph with no self-loops and no multiple edges

$$|E| \leq |V|(|V| - 1)/2$$

Proof: each vertex has degree at most  $(|V| - 1)$



## Example

- $|V| = 4$
- $|E| = 6$
- $\deg(v) = 3$

Q: What is the bound for a digraph?

A:  $|E| \leq |V|(|V| - 1)$

# Main Methods of the (Undirected) Graph ADT

## ➤ Vertices and edges

- ❑ are positions
- ❑ store elements

## ➤ Accessor methods

- ❑ **endVertices**(e): an array of the two endvertices of e
- ❑ **opposite**(v, e): the vertex opposite to v on e
- ❑ **areAdjacent**(v, w): true iff v and w are adjacent
- ❑ **replace**(v, x): replace element at vertex v with x
- ❑ **replace**(e, x): replace element at edge e with x

## ➤ Update methods

- ❑ **insertVertex**(o): insert a vertex storing element o
- ❑ **insertEdge**(v, w, o): insert an edge (v,w) storing element o
- ❑ **removeVertex**(v): remove vertex v (and its incident edges)
- ❑ **removeEdge**(e): remove edge e

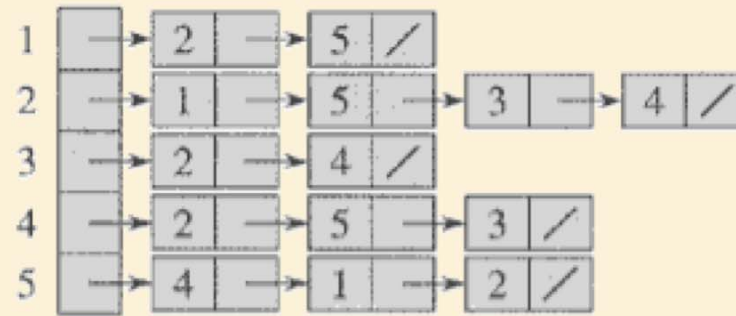
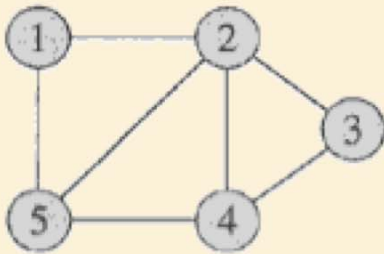
## ➤ Iterator methods

- ❑ **incidentEdges**(v): edges incident to v
- ❑ **vertices**(): all vertices in the graph
- ❑ **edges**(): all edges in the graph

# Running Time of Graph Algorithms

- Running time often a function of both  $|V|$  and  $|E|$ .
- For convenience, we sometimes drop the  $| \cdot |$  in asymptotic notation, e.g.  $O(V+E)$ .

# Implementing a Graph (Simplified)



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

Adjacency List

Adjacency Matrix

Space complexity:

$$\theta(V + E)$$

$$\theta(V^2)$$

Time to find all neighbours of vertex  $u$  :  $\theta(\text{degree}(u))$

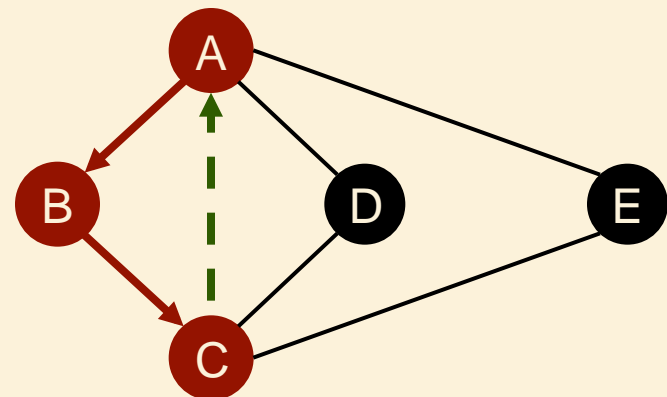
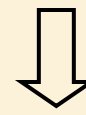
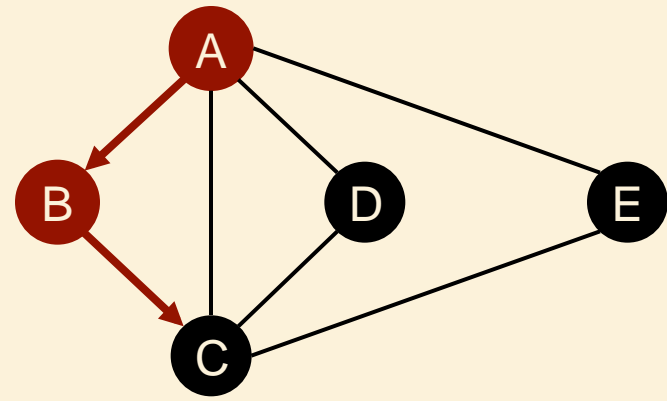
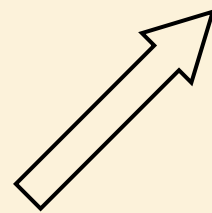
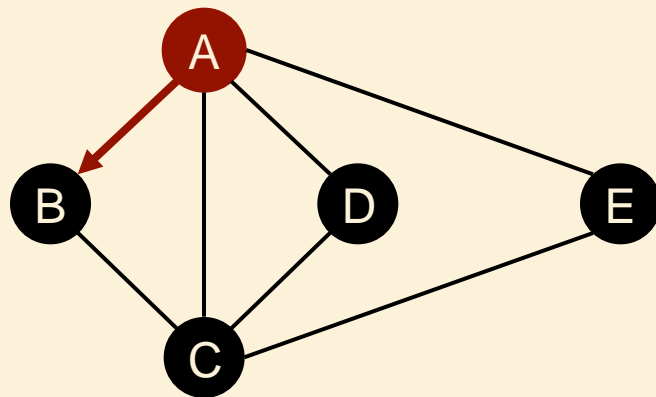
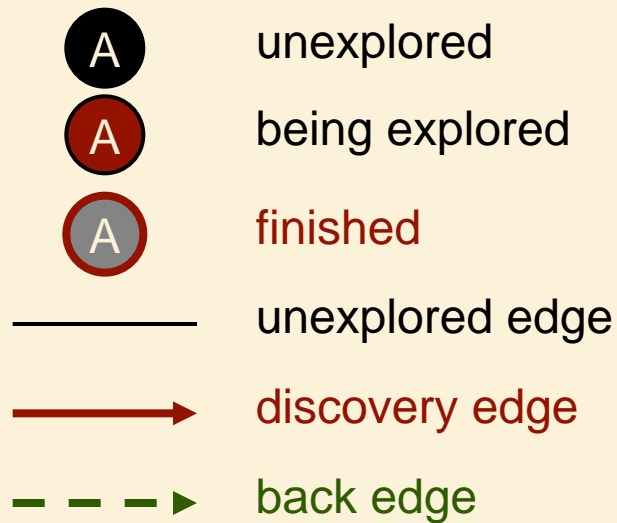
$$\theta(V)$$

Time to determine if  $(u, v) \in E$  :

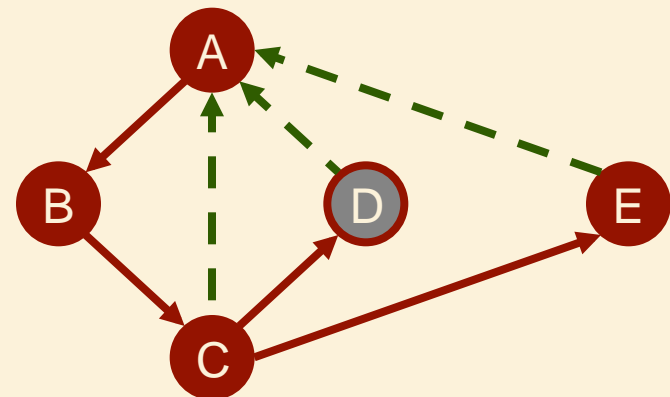
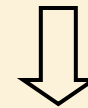
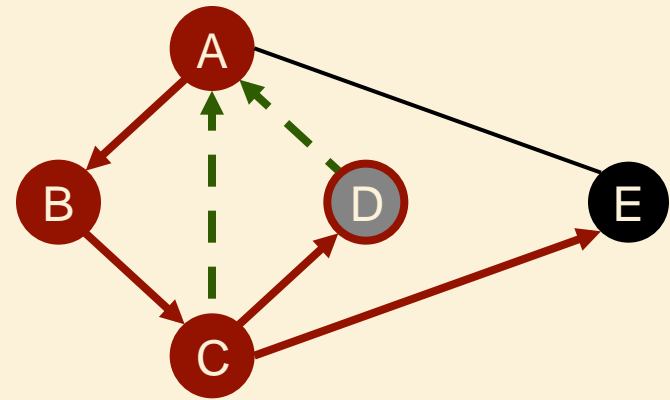
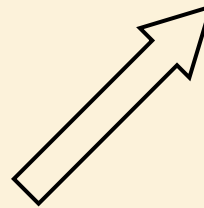
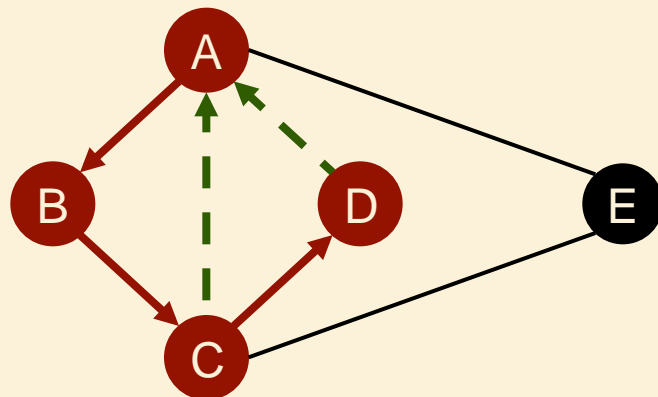
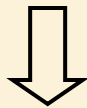
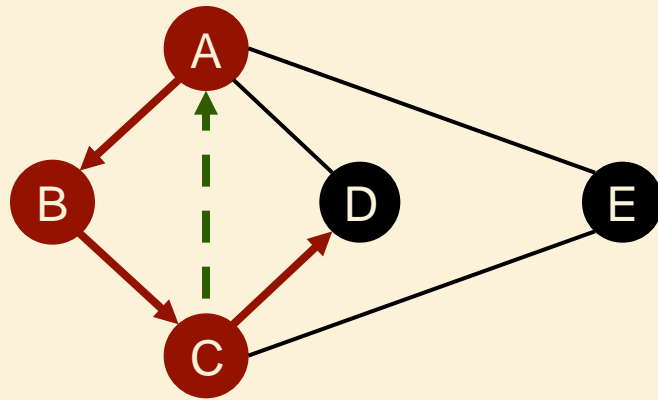
$$\theta(\text{degree}(u))$$

$$\theta(1)$$

# DFS Example on Undirected Graph



## Example (cont.)





# DFS Algorithm Pattern

DFS(G)

Precondition: G is a graph

Postcondition: all vertices in G have been visited

for each vertex  $u \in V[G]$

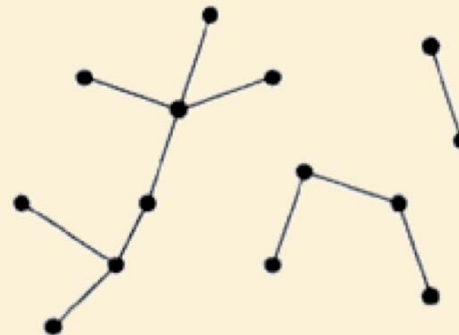
    color[u] = BLACK //initialize vertex

for each vertex  $u \in V[G]$

    if color[u] = BLACK //as yet unexplored

        DFS-Visit( $u$ )

} total work  
=  $\theta(V)$



# DFS Algorithm Pattern

DFS-Visit ( $u$ )

Precondition: vertex  $u$  is undiscovered

Postcondition: all vertices reachable from  $u$  have been processed

$\text{colour}[u] \leftarrow \text{RED}$

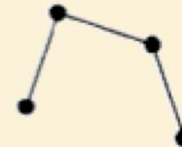
for each  $v \in \text{Adj}[u]$  //explore edge  $(u, v)$

if  $\text{color}[v] = \text{BLACK}$

DFS-Visit( $v$ )

$\text{colour}[u] \leftarrow \text{GRAY}$

} total work  
=  $\sum_{v \in V} |\text{Adj}[v]| = \theta(E)$



Thus running time =  $\theta(V + E)$   
(assuming adjacency list structure)

# Other Variants of Depth-First Search

- The DFS Pattern can also be used to
  - ❑ Compute a forest of spanning trees (one for each call to DFS-visit) encoded in a predecessor list  $\pi[u]$
  - ❑ Label edges in the graph according to their role in the search (see textbook)
    - ✧ **Tree edges**, traversed to an undiscovered vertex
    - ✧ **Forward edges**, traversed to a descendent vertex on the current spanning tree
    - ✧ **Back edges**, traversed to an ancestor vertex on the current spanning tree
    - ✧ **Cross edges**, traversed to a vertex that has already been discovered, but is not an ancestor or a descendent

# DAGs and Topological Ordering

- A directed acyclic graph (DAG) is a digraph that has no directed cycles
- A topological ordering of a digraph is a numbering

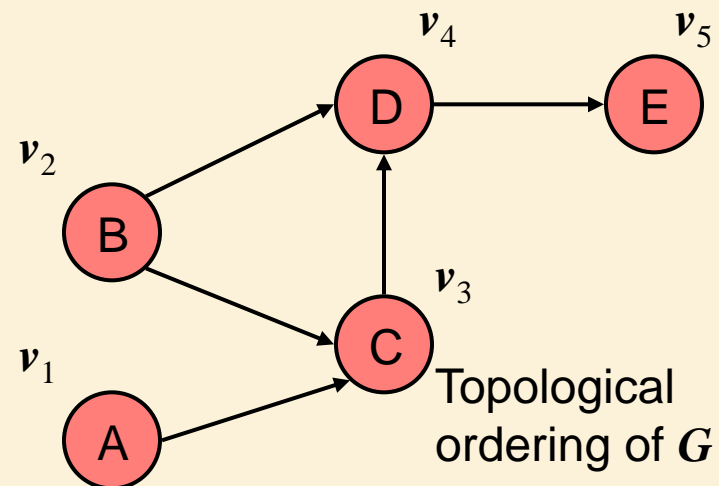
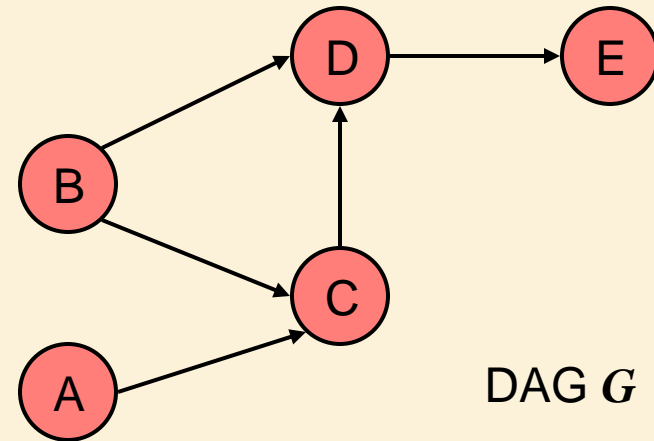
$$v_1, \dots, v_n$$

of the vertices such that for every edge  $(v_i, v_j)$ , we have  $i < j$

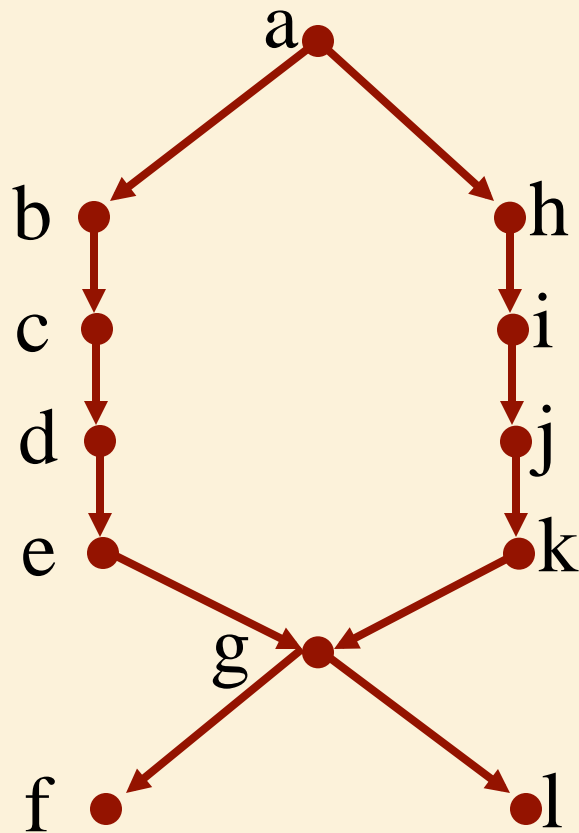
- Example: in a task scheduling digraph, a topological ordering is a task sequence that satisfies the precedence constraints

## Theorem

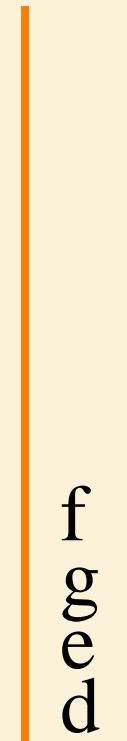
A digraph admits a topological ordering if and only if it is a DAG



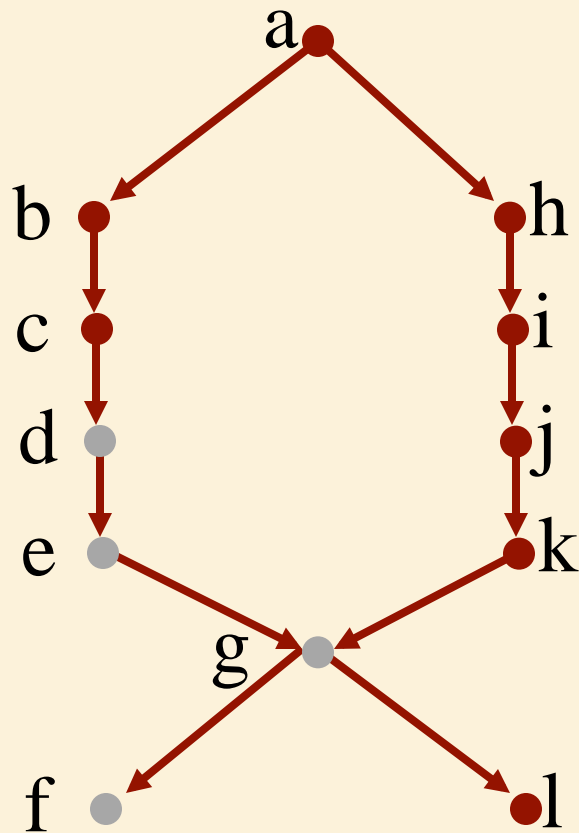
# Linear Order Alg: DFS



Found  
Not Handled  
Stack



# Linear Order Alg: DFS



Found  
Not Handled  
Stack

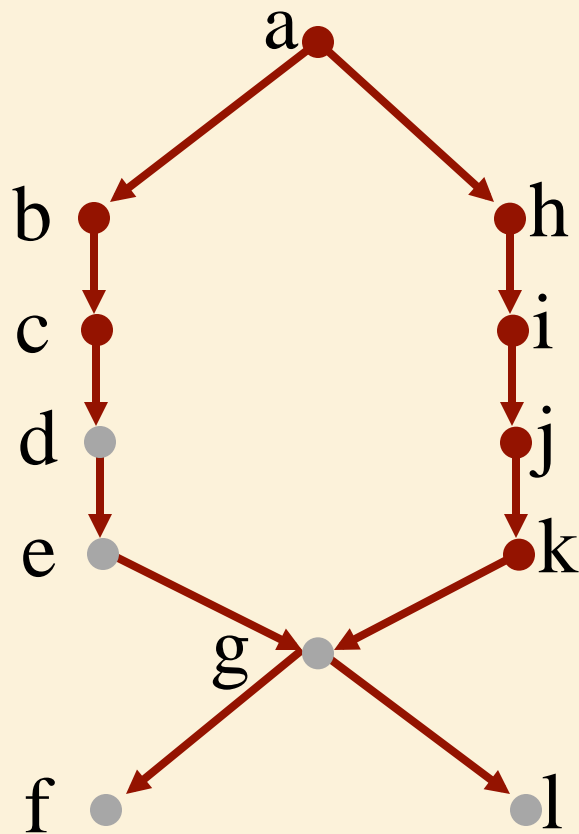


When node is popped off stack, insert at front of linearly-ordered “to do” list.

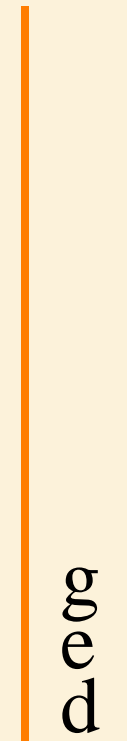
Linear Order:

..... f

# Linear Order Alg: DFS



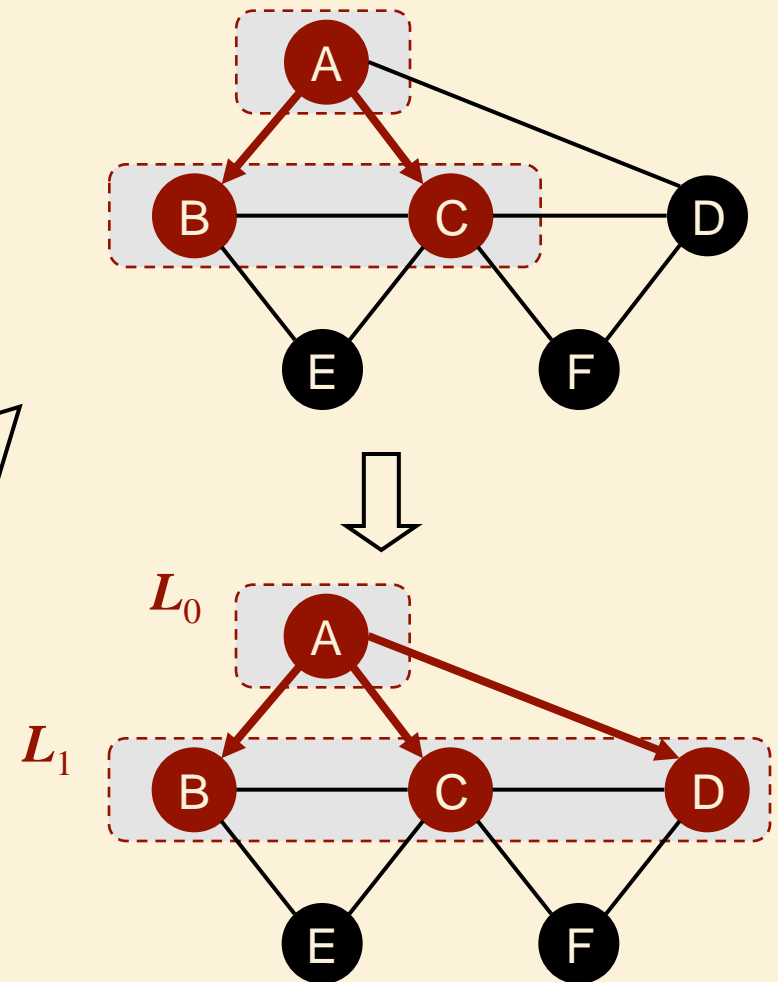
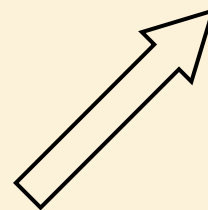
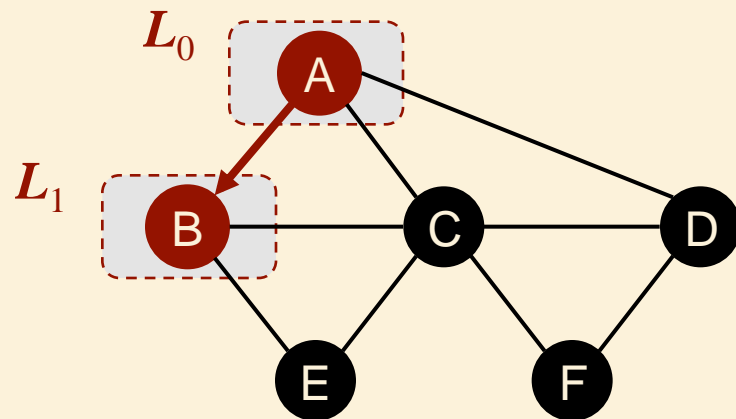
Found  
Not Handled  
Stack



Linear Order:

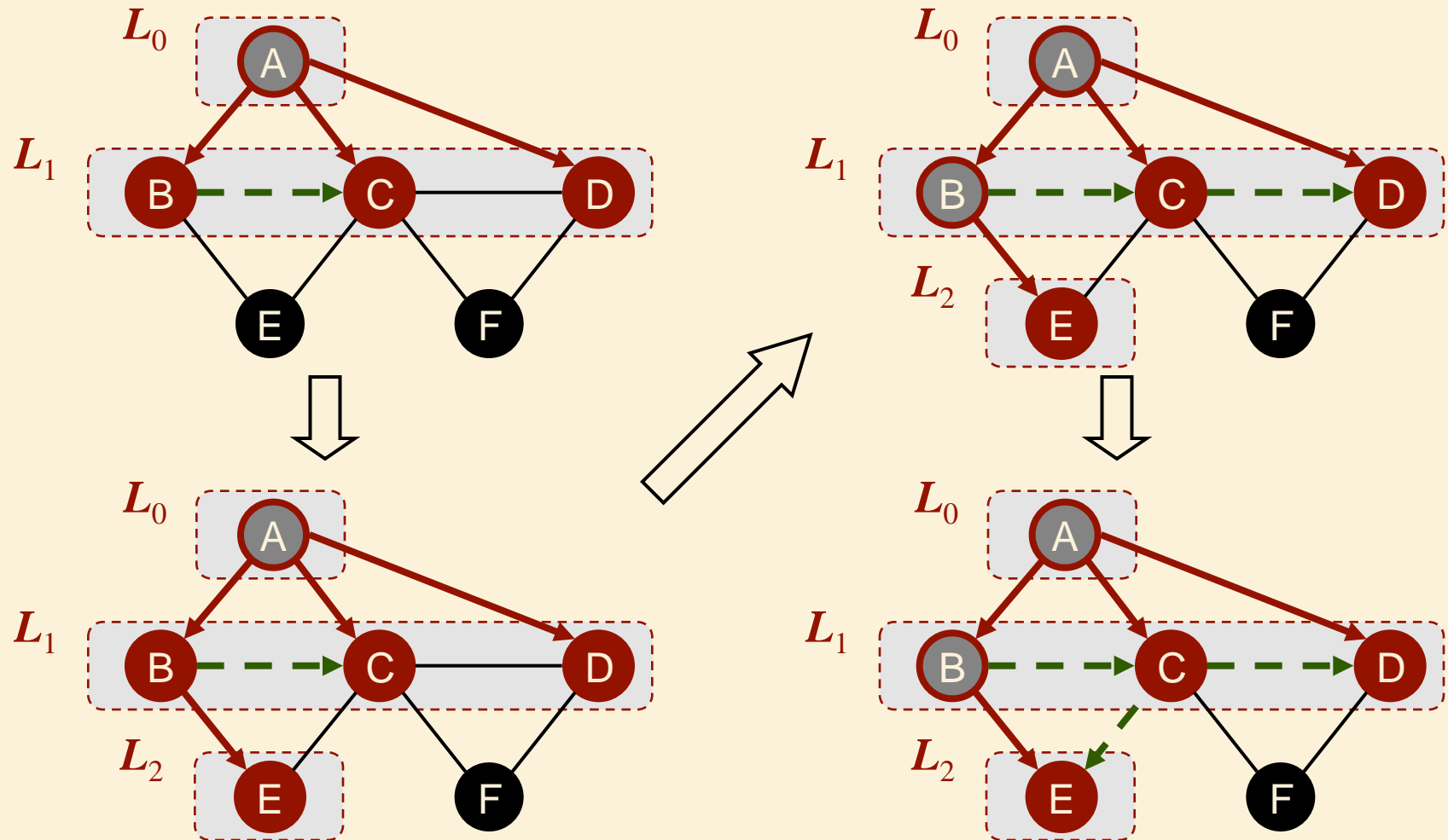
l,f

# BFS Example

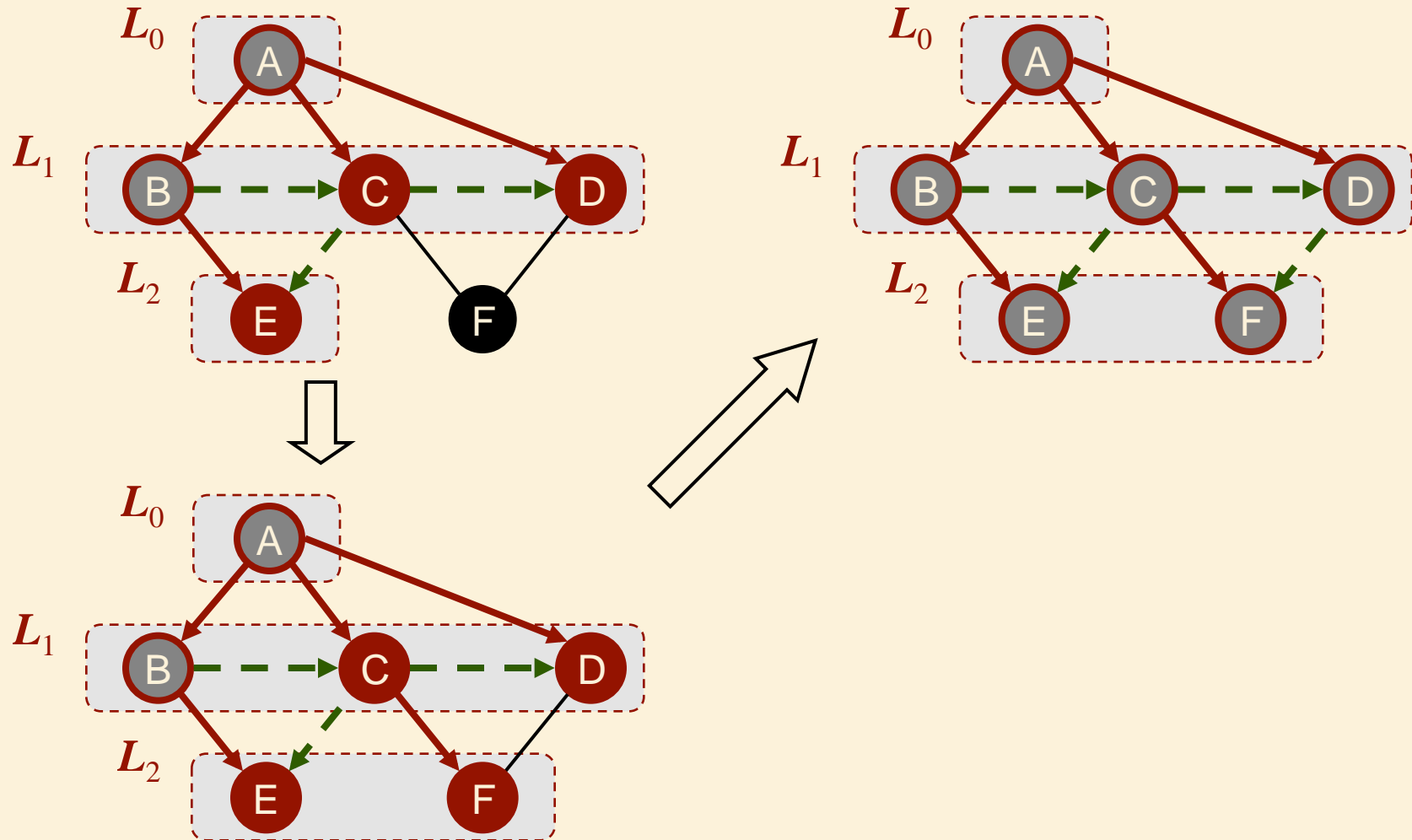




# BFS Example (cont.)



## BFS Example (cont.)



# Analysis

- Setting/getting a vertex/edge label takes  $O(1)$  time
- Each vertex is labeled three times
  - ❑ once as BLACK (undiscovered)
  - ❑ once as RED (discovered, on queue)
  - ❑ once as GRAY (finished)
- Each edge is considered twice (for an undirected graph)
- Each vertex is inserted once into a sequence  $L_i$
- Thus BFS runs in  $O(|V|+|E|)$  time provided the graph is represented by an adjacency list structure

# BFS Algorithm with Distances and Predecessors

BFS( $G, s$ )

Precondition:  $G$  is a graph,  $s$  is a vertex in  $G$

Postcondition:  $d[u]$  = shortest distance  $\delta[u]$  and

$\pi[u]$  = predecessor of  $u$  on shortest paths from  $s$  to each vertex  $u$  in  $G$

```
for each vertex  $u \in V[G]$ 
     $d[u] \leftarrow \infty$ 
     $\pi[u] \leftarrow \text{null}$ 
     $\text{color}[u] = \text{BLACK}$  //initialize vertex
 $\text{colour}[s] \leftarrow \text{RED}$ 
 $d[s] \leftarrow 0$ 
 $Q.\text{enqueue}(s)$ 
while  $Q \neq \emptyset$ 
     $u \leftarrow Q.\text{dequeue}()$ 
    for each  $v \in \text{Adj}[u]$  //explore edge  $(u, v)$ 
        if  $\text{color}[v] = \text{BLACK}$ 
             $\text{colour}[v] \leftarrow \text{RED}$ 
             $d[v] \leftarrow d[u] + 1$ 
             $\pi[v] \leftarrow u$ 
             $Q.\text{enqueue}(v)$ 
     $\text{colour}[u] \leftarrow \text{GRAY}$ 
```

# Single-Source (Weighted) Shortest Paths

# Relaxing an edge

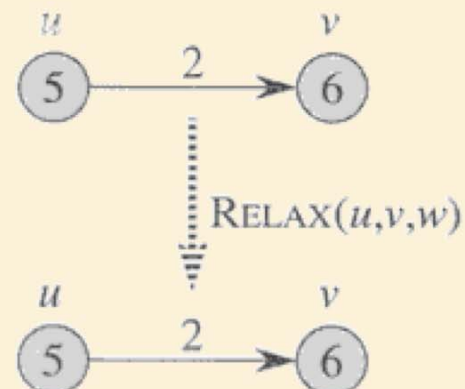
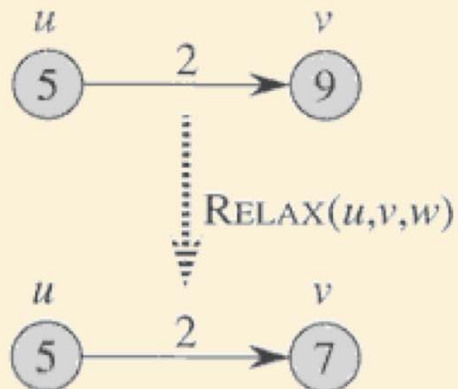
- Can we improve shortest-path estimate for  $v$  by first going to  $u$  and then following edge  $(u, v)$ ?

RELAX( $u, v, w$ )

if  $d[v] > d[u] + w(u, v)$  then

$d[v] \leftarrow d[u] + w(u, v)$

$\pi[v] \leftarrow u$



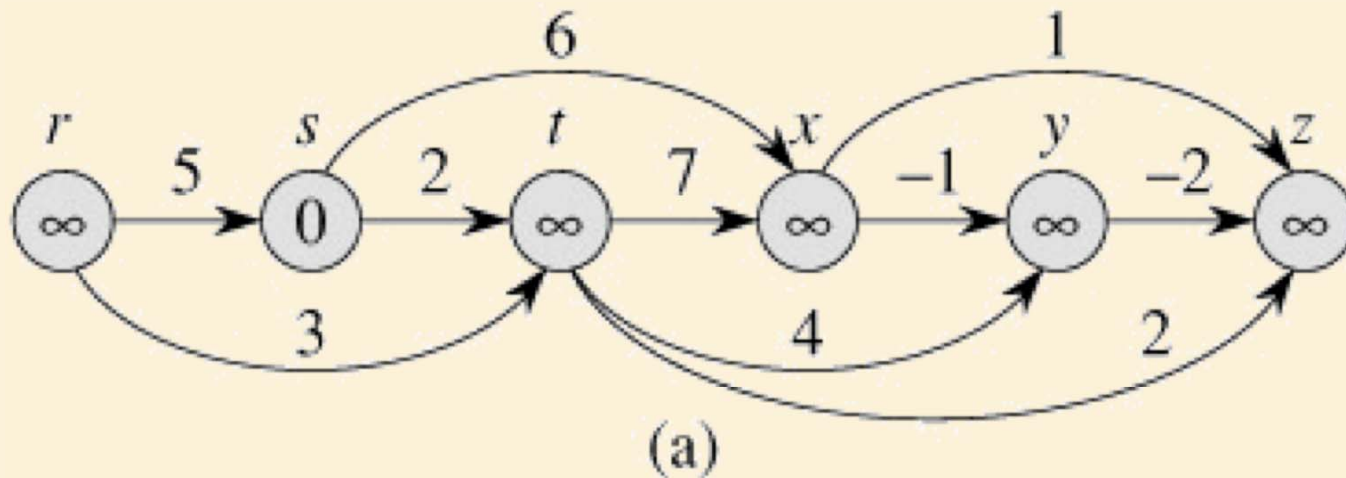
# General single-source shortest-path strategy

1. Start by calling INIT-SINGLE-SOURCE
2. Relax Edges

Algorithms differ in the order in which edges are taken and how many times each edge is relaxed.

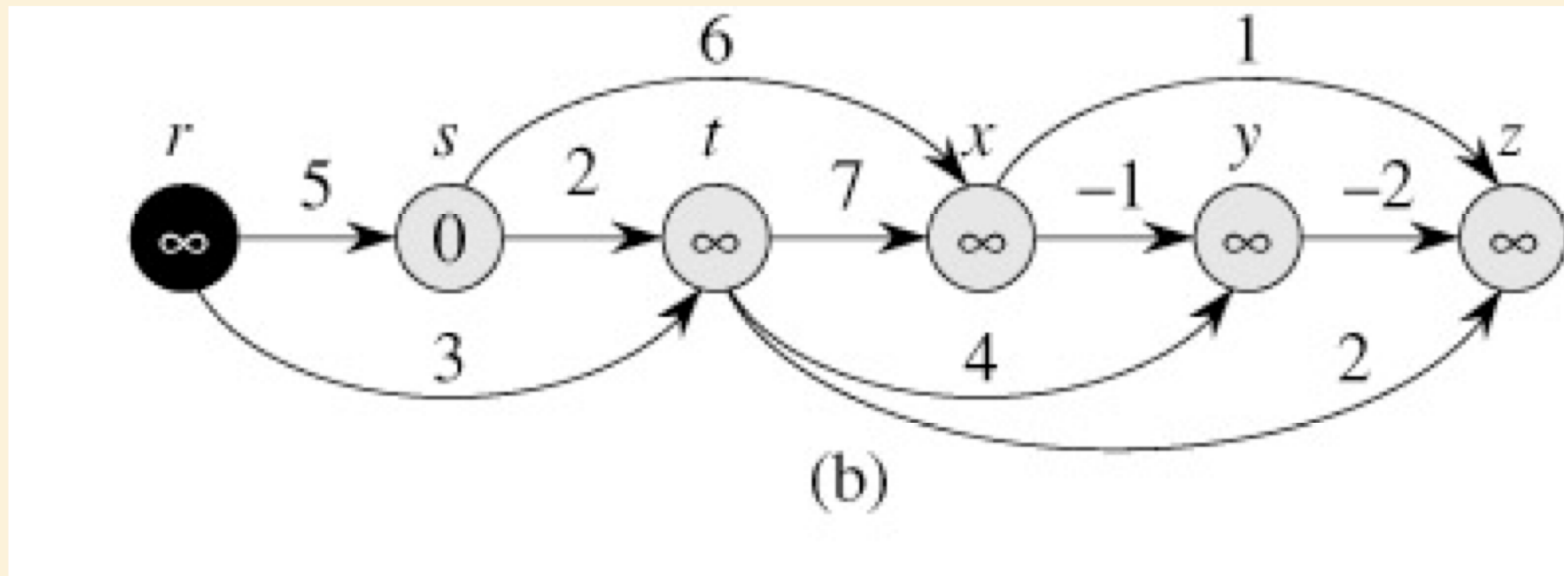
## Example: Single-source shortest paths in a directed acyclic graph (DAG)

- Since graph is a DAG, we are guaranteed no negative-weight cycles.
- Thus algorithm can handle negative edges

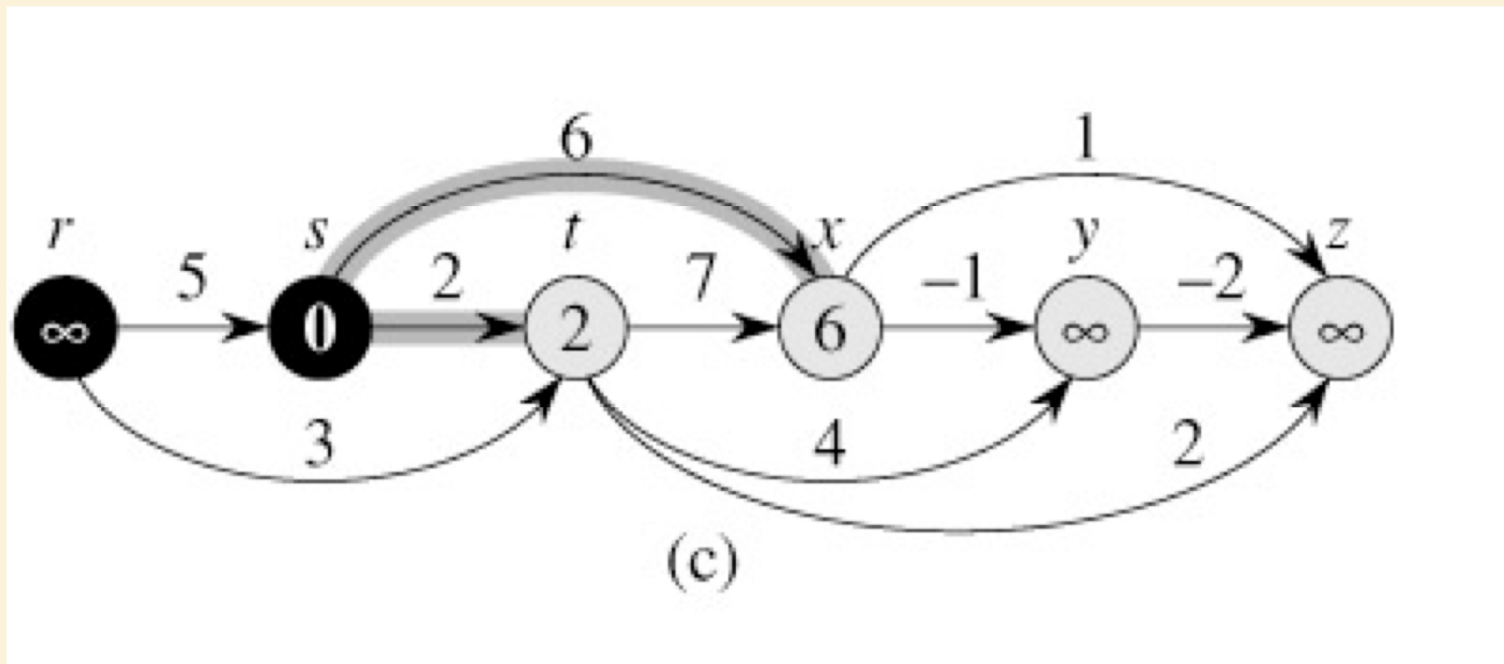




# Example



# Example

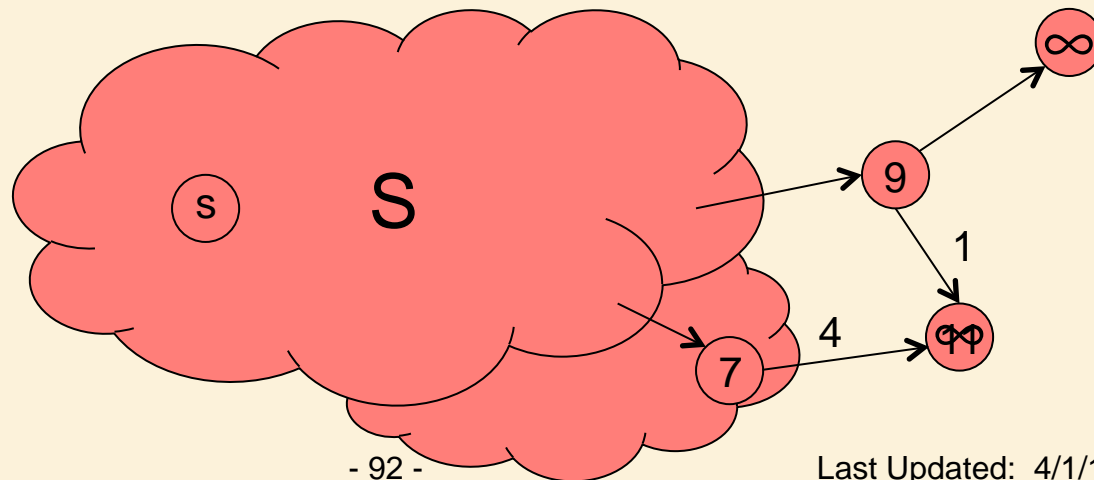


## Example 2. Single-Source Shortest Path on a General Graph (May Contain Cycles)

- This is fundamentally harder, because the first paths we discover may not be the shortest (not monotonic).

# Dijkstra's Algorithm: Operation

- We grow a “**cloud**”  $S$  of vertices, beginning with  $s$  and eventually covering all the vertices
- We store with each vertex  $v$  a label  $d(v)$  representing the distance of  $v$  from  $s$  in the subgraph consisting of the cloud  $S$  and its adjacent vertices
- At each step
  - ❑ We add to the cloud  $S$  the vertex  $u$  outside the cloud with the smallest distance label,  $d(u)$
  - ❑ We update the labels of the vertices adjacent to  $u$



# Dijkstra's algorithm: Analysis

- Analysis:
  - Using minheap, queue operations takes  $O(\log V)$  time

DIJKSTRA( $G, w, s$ )

```
1  INITIALIZE-SINGLE-SOURCE( $G, s$ )  $O(V)$ 
2   $S \leftarrow \emptyset$ 
3   $Q \leftarrow V[G]$ 
4  while  $Q \neq \emptyset$ 
5      do  $u \leftarrow \text{EXTRACT-MIN}(Q)$        $O(\log V) \times O(V)$  iterations
6           $S \leftarrow S \cup \{u\}$ 
7          for each vertex  $v \in \text{Adj}[u]$ 
8              do RELAX( $u, v, w$ )       $O(\log V) \times O(E)$  iterations
```

→ Running Time is  $O(E \log V)$

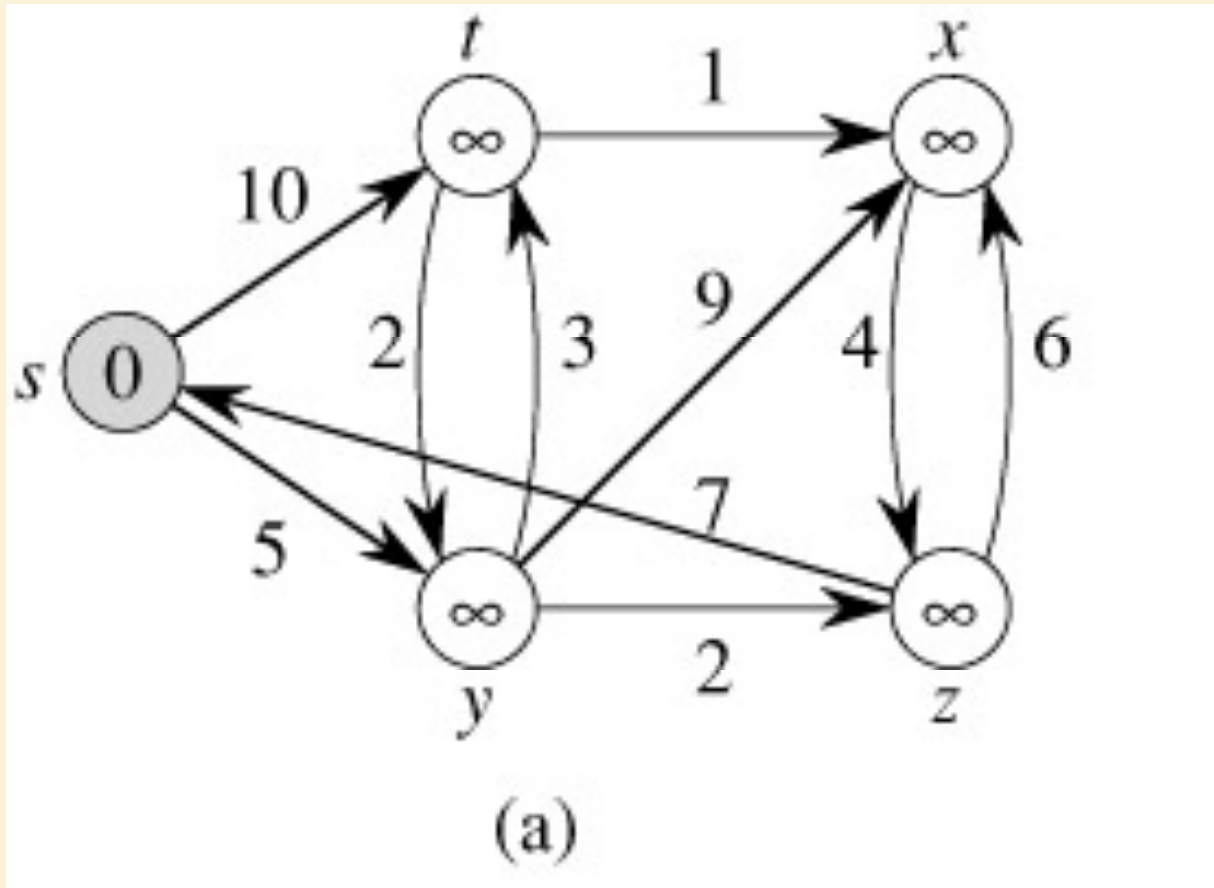
# Example

Key:

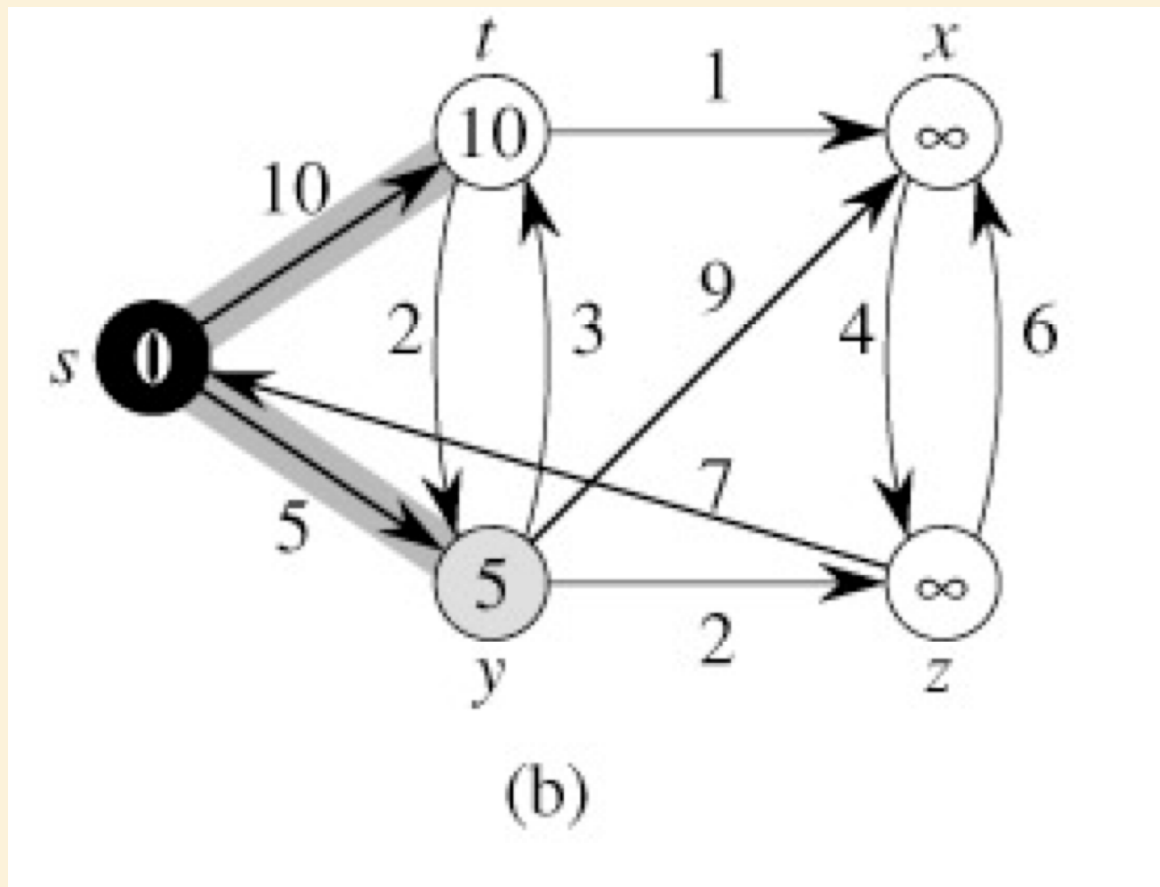
White  $\Leftrightarrow$  Vertex  $\in Q = V - S$

Grey  $\Leftrightarrow$  Vertex = min(Q)

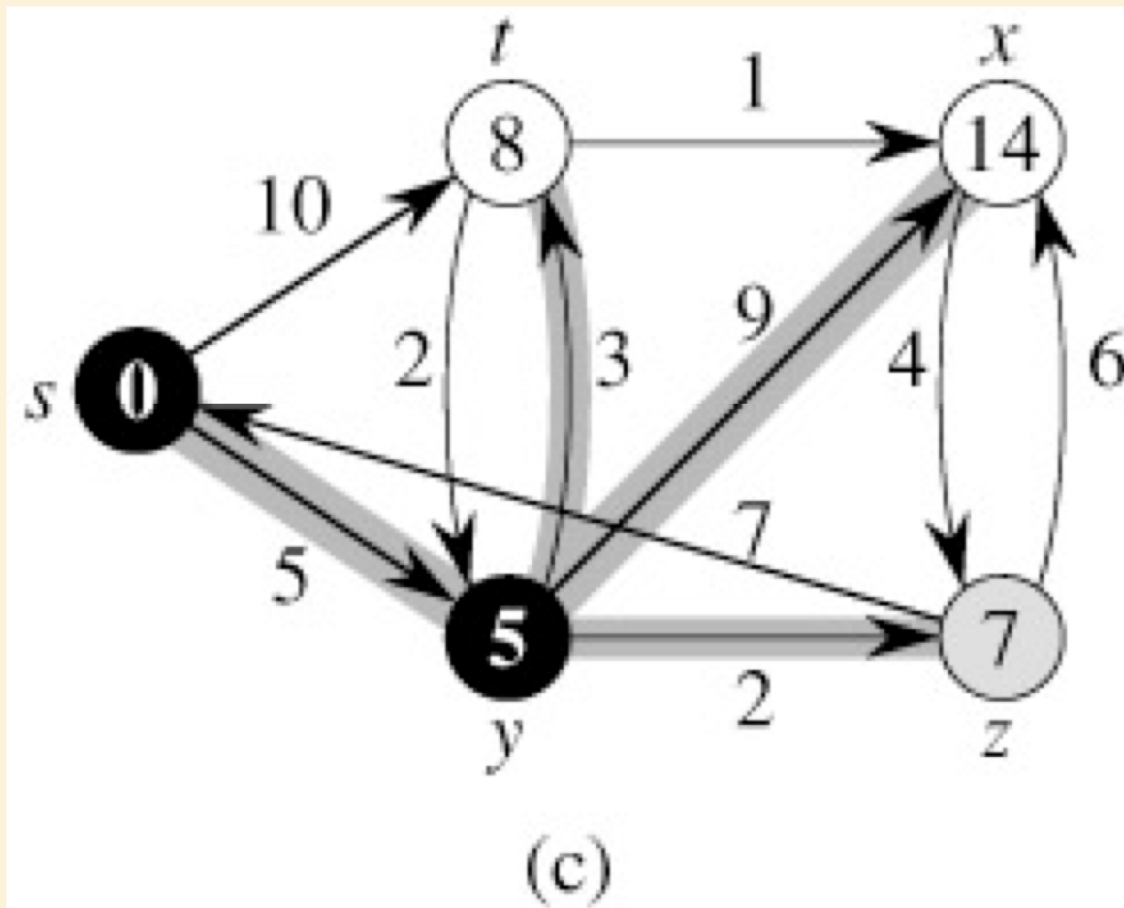
Black  $\Leftrightarrow$  Vertex  $\in S$ , Off Queue



# Example

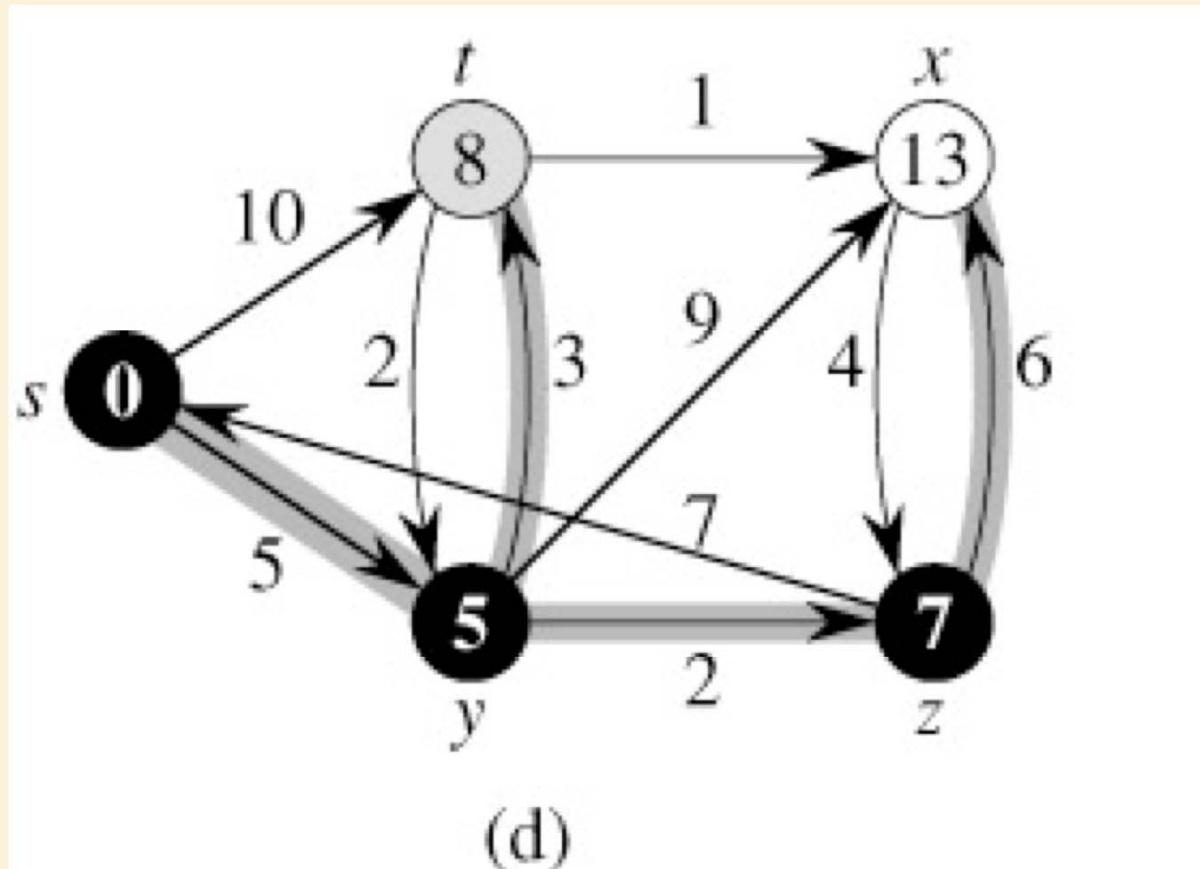


# Example





# Example



# Example

